

# COMPLEX MANIFOLDS

lent term

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prerequisites: differential geometry  
basic complex analysis (holomorphic functions)

Remarks: Riemann surfaces are useful but not essential  
Algebraic Geometry is related, but not really used.

Books: Huybrechts "complex geometry"  
Griffiths & Harris "Principles of algebraic geometry" (CH0 and CH1)

There will be printed notes to come later, but are not a replacement for lecture notes.

4 example sheets & classes - first to come out on 22<sup>nd</sup> of Jan.

## 1. Introduction

**Recall:** Smooth, real,  $n$ -dimensional manifold  $M$  - Hausdorff and second-countable, covered by charts: homeomorphisms  $\varphi_\alpha: U_\alpha \rightarrow V_\alpha$ , where  $U_\alpha \subset M$  is open and connected,  $\forall \alpha \in \mathbb{N}$  with  $M = \bigcup_{\alpha \in \mathbb{N}} U_\alpha$ ,  $\varphi_\beta \circ \varphi_\alpha^{-1}$  is smooth ( $C^\infty$ ) on its domain  $\subset \mathbb{R}^n$ .

**Basic idea:** replace  $\mathbb{R}^n$  with  $\mathbb{C}^n$ , and  $C^\infty$  with holomorphic (also known as complex analytic), to obtain a "holomorphic structure".

We will need some basics of several complex variables.

Recall first about one complex variable. Let  $U \subset \mathbb{C}$  be open, suppose  $f: U \rightarrow \mathbb{C}$  is smooth in the  $\mathbb{R}$ -sense. We say  $f$  is **holomorphic** iff

- it is complex analytic (represented by some convergent power series,  $f(z) = \sum_{n=0}^{\infty} c_n (z-a)^n$  valid for  $\{ |z-a| < \varepsilon \} \subset U$ )
- it is complex differentiable ( $\mathbb{R}$ -smooth + Cauchy-Riemann equations hold:

$$\text{if } z = x + iy, \quad \frac{\partial}{\partial \bar{z}} = \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right), \quad \frac{\partial}{\partial z} = \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$$

**Cauchy-Riemann:**  $\frac{\partial f}{\partial \bar{z}} = 0$  on  $U$

A general smooth  $f(z) = f(a) + \frac{\partial f}{\partial z}(a)z + \frac{\partial f}{\partial \bar{z}}(a)\bar{z} + o(\bar{z}) \quad (a=0)$

the differential  $df = \frac{\partial f}{\partial z} dz + \frac{\partial f}{\partial \bar{z}} d\bar{z}$  as  $z \rightarrow 0$  ( $dz = dx + i dy$ ,  $d\bar{z} = dx - i dy$ ).

**Cauchy Integral formula:**  $f(z) = \frac{1}{2\pi i} \int_{|w-z|=r} \frac{f(w)}{w-z} dw \quad \{ |w-z| < r \} \subset U$

Now let  $U \subset \mathbb{C}^n$  be open, and  $f: U \rightarrow \mathbb{C}$  be  $C^1$ -differentiable in the real sense. Then  $f$  is called **holomorphic** if  $g_j(z) := f(z_1, \dots, z_{j-1}, z, z_{j+1}, \dots, z_n)$  (fix all but  $j$ ) is holomorphic in  $z \quad \forall j=1, \dots, n$ .

i.e.  $\frac{\partial f}{\partial \bar{z}_j} = 0$  on  $U \quad j=1, \dots, n$ , where  $\frac{\partial}{\partial \bar{z}_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right) \quad z_j = x_j + i y_j$ .

A shorthand for this will be  $\bar{\partial} f = 0$ .

N.B. it is often convenient to set  $U$  as a polydisc:  $\Delta_1 \times \dots \times \Delta_n = \{ z \in \mathbb{C}^n : |z_j - a_j| < r_j \quad \forall j \}$   
 $a_j \in \mathbb{C}, r_j > 0$

**Cauchy Integral Formula:** if  $f: \underbrace{\Delta_1 \times \dots \times \Delta_n}_{\Delta} \rightarrow \mathbb{C}$  is holomorphic, then

$$f(z) = \frac{1}{(2\pi i)^n} \int_{\substack{|w_j - a_j| = r_j \\ \forall j}} \frac{f(w)}{(w_1 - z_1) \dots (w_n - z_n)} dw_1 \dots dw_n \quad \forall z \in \Delta \quad w = (w_1, \dots, w_n)$$

N.B. We're integrating over submanifold  $\subset \partial \Delta$  when  $n > 1$ .

Proof (gist) can do repeated integration in each  $w_j$ ,  $j=1, \dots, n$ , and treat  $w_{j+1}, \dots, w_n$  as parameters.



**Power series:** holomorphicity  $\Leftrightarrow f(z) = \sum_{i_1, \dots, i_n=0}^{\infty} \frac{\partial^{i_1+\dots+i_n} f}{\partial z_1^{i_1} \dots \partial z_n^{i_n}}(a) (z_1 - a_1)^{i_1} \dots (z_n - a_n)^{i_n} \frac{1}{i_1! \dots i_n!}$

If  $f = (f_1, \dots, f_m) : U \subseteq \mathbb{C}^n \rightarrow \mathbb{C}^m$ , then  $f$  is holomorphic iff each  $f_j$  is too. It is called biholomorphic if it is bijective and  $f$  and  $f^{-1}$  are both holomorphic.

**Complex Jacobian** : of a holomorphic function  $f = (f_1, \dots, f_m)$ , then

$$J(f)_z := \left( \frac{\partial f_k}{\partial z_j}(z) \right)_{\substack{k=1, \dots, m \\ j=1, \dots, n}}$$

defines a  $\mathbb{C}$ -linear map  $\mathbb{C}^n \rightarrow \mathbb{C}^m$ . If  $J(f)_z$  is surjective, then we say  $z$  is a regular point of  $f$ . If  $w \in \mathbb{C}^m$ , then if  $\forall z \in f^{-1}(w)$  is regular, then we call  $w$  a regular value.

Suppose  $m=n=1$ , and write  $f = u+iv$ ,  $f$  is holo. Then  $J_{\mathbb{R}}(f) = \begin{pmatrix} \frac{\partial u}{\partial x} & \frac{\partial u}{\partial y} \\ \frac{\partial v}{\partial x} & \frac{\partial v}{\partial y} \end{pmatrix} \sim \begin{pmatrix} \frac{\partial f}{\partial z} & 0 \\ 0 & \frac{\partial \bar{f}}{\partial \bar{z}} \end{pmatrix}$   
thought of as a complex matrix  
 i.e.  $\forall \alpha, \beta \in \mathbb{R} \quad \begin{pmatrix} \alpha & -\beta \\ \beta & \alpha \end{pmatrix} \sim \begin{pmatrix} \alpha + i\beta & 0 \\ 0 & \alpha - i\beta \end{pmatrix}$

We can extend this to dimensions  $m, n > 1$ .

For a general function,  $J_{\mathbb{R}}(f)$  regarded as a complex matrix is similar to  $\begin{pmatrix} J(f) & 0 \\ 0 & \overline{J(f)} \end{pmatrix}$  for any holo.  $f$ .

When  $m=n$  are equal, we get a square matrix, and

$$\begin{aligned} \det(J_{\mathbb{R}}(f)) &= \det(J(f)) \det(\overline{J(f)}) \\ &= |\det(J(f))|^2 > 0 \end{aligned}$$

In particular,  $> 0$  when  $J(f)$  is non-singular.

**(Holo) Inverse function Theorem:** if  $U, V \subseteq \mathbb{C}^n$  open and  $f: U \rightarrow V$  holomorphic with  $z \in U$  a regular point, then  $\exists$  nbhd  $U_0$  of  $z$  s.t.  $f$  maps  $U_0$  biholomorphically onto its image.

**Dfn:** a **complex  $n$ -fold**  $M$  is a hausdorff second countable topological space with complex coord. charts: homeomorphisms  $\varphi_i: U_i \subseteq M \rightarrow \varphi_i(U_i) \subseteq \mathbb{C}^n$ , where both  $U_i$  and its image are open and connected sets, such that  $\forall i, j$ ,  $\varphi_i \circ \varphi_j^{-1}$  are holomorphic on  $\varphi_i(U_i \cap U_j)$ , and  $M = \bigcup U_i$ .

If  $p \in U_i$ ,  $\varphi_i(p) = (z_1, \dots, z_n)$  are **complex local coordinates**.

**Remark:** we can think of  $M$  as a real  $2n$ -dimensional manifold with a choice of "holomorphic atlas":  $\{(\varphi_i, U_i)\}$ . Often referred to as the underlying real smooth manifold.

**Dfn:** let  $M, N$  be two complex manifolds with complex atlases  $\{(\varphi_i, U_i)\}$  and  $\{(\psi_\alpha, V_\alpha)\}$ . If  $F: M \rightarrow N$  is a continuous map, we say  $F$  is **holomorphic** if  $\forall i, \alpha$   $\psi_\alpha \circ F \circ \varphi_i^{-1}$  is holomorphic as a complex function on its domain  $\varphi_i(U_i \cap F^{-1}(V_\alpha)) \subseteq \mathbb{C}^n$  check this is domain.

Manifolds  $M$  and  $N$  are **biholomorphic** (isomorphic) if  $\exists$  a biholomorphic map  $F: M \rightarrow N$ .

(in fact, it suffices that if  $F$  is a holomorphic bijection, then  $F^{-1}$  is automatically holomorphic)  
see complex variable notes for dim=1 case

**Proposition:** Let  $M$  be a compact complex manifold. Then a holomorphic function  $f: M \rightarrow \mathbb{C}$  is constant.

proof: Consider  $|f|: M \rightarrow \mathbb{R}$ . This is continuous since  $f$  is holo. Since  $M$  is compact,  $\Rightarrow M$  attains a maximum, say at  $p \in M$ . Consider a chart  $\varphi: U \rightarrow \Delta \subset \mathbb{C}^n$  around  $p$  (wlog map to some polydisc). Note  $f \circ \varphi$  satisfies the max. modulus principle on  $U$ . Hence  $\varphi \circ f$  constant (by ex. sheet 1 Q1), so by bijectivity of  $\varphi$   $f$  is constant on  $U$ .  $M$  is covered by finitely many charts (as compact), so repeat above for each chart. So  $f$  is constant on  $M$ .  $\square$

## Examples of Complex manifolds

0. Trivially any open subset of  $\mathbb{C}^n$

1. 1-dim. complex manifold is a Riemann surface

Classification is known as the uniformisation theorem:

Riemann sphere  $\mathbb{CP}^1 \cong S^2$ ,  $\mathbb{C}$ ,  $\mathbb{C}/\mathbb{Z} \cong \mathbb{C}^*$ ,

elliptic curves  $\mathbb{C}/\Lambda$  ( $\cong S^1 \times S^1$ ) where  $\Lambda = \lambda_1 \mathbb{Z} + \lambda_2 \mathbb{Z}$ ,  $(\lambda_1/\lambda_2 \in \mathbb{R})$

and  $\Delta/\Gamma$ ,  $\Delta = \{z \in \mathbb{C} \mid |z| < 1\} \subset \mathbb{C}$  and  $\Gamma$  subgroup of Möbius transformations of  $\Delta$  properly discontinuously

More generally, the quotient construction of complex manifolds (sheet 1, Q2)

2.  $\mathbb{CP}^n$  (or  $\mathbb{P}^n$ ) Complex projective spaces

=  $\{1\text{-dim subspaces in } \mathbb{C}^{n+1}\}$ ,  $\pi: \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{CP}^n$

Notation: points in  $\mathbb{CP}^n$  are written  $[z_0: \dots: z_n]$  ( $= (\lambda z_0, \dots, \lambda z_n) \forall \lambda \in \mathbb{C} \setminus \{0\}$ )

With quotient topology: Hausdorff, second countable, compact.

Coord charts:  $U_i = \{[z_0: \dots: z_n] \mid z_i \neq 0\}$  for  $i = 0, \dots, n$ .

$\varphi_i([z_0: \dots: z_n]) = (\frac{z_0}{z_i}, \dots, \frac{z_{i-1}}{z_i}, \frac{z_{i+1}}{z_i}, \dots, \frac{z_n}{z_i}) \in \mathbb{C}^n$

and  $\Rightarrow (j \circ i) \varphi_j \circ \varphi_i^{-1} = (\frac{w_1}{w_j}, \dots, \frac{w_{i-1}}{w_j}, \frac{1}{w_j}, \frac{w_{i+1}}{w_j}, \dots, \frac{w_{j-1}}{w_j}, \frac{w_{j+1}}{w_j}, \dots, \frac{w_n}{w_j})$  check.

This is a very important manifold:

(1) Compact complex manifolds never embed (holo) in  $\mathbb{C}^n$ , but some can embed in  $\mathbb{CP}^n$ .

These are called projective manifolds.

proof of (1): if  $M$  is a compact complex manifold with  $\iota: M \hookrightarrow \mathbb{C}^n$  a holomorphic embedding. Then  $\forall k = 1, \dots, n$ , write  $\pi_k: \mathbb{C}^n \rightarrow \mathbb{C}$ ,  $z \mapsto z_k$  the coord projection (which is holo). So  $\pi_k \circ \iota: M \rightarrow \mathbb{C}$  is holomorphic on a compact complex manifold. Then  $\pi_k \circ \iota$  is constant  $\forall k$ . Hence  $\Rightarrow \iota(M)$  is one point  $\zeta$ .  $\square$

Easy example: making  $S^2$  into a complex 1-dim. manifold  $\mathbb{CP}^1$ . Note  $S^2 = \{x^2 + y^2 + z^2 = 1\} \subset \mathbb{R}^3$ . Define

$$f: (x, y, z) \in S^2 \rightarrow \begin{cases} [\frac{x+iy}{1-z} : 1] & \text{if } z \neq 1 \\ [1 : \frac{x-iy}{1+z}] & \text{if } z \neq -1 \end{cases} \in \mathbb{CP}^1$$

Check  $f: S^2 \rightarrow \mathbb{CP}^1$  is a diffeomorphism.

The induced charts on  $S^2$  are stereographic projections for  $(0, 0, \pm 1)$ .

3. **Complex Tori**:  $\mathbb{C}^n / \Lambda$  where  $\Lambda \cong \mathbb{Z}^{2n}$  lattice, a discrete subgroup of  $\mathbb{C}^n$ .

Endow with quotient topology: Hausdorff, second countable and compact.

Charts: local inverses of the quotient map

$$\pi: \mathbb{C}^n \rightarrow \mathbb{C}^n / \Lambda$$

$D_i \subseteq \mathbb{C}^n$  a sufficiently small open ball s.t.  $\varphi_i := \pi|_{D_i} \rightarrow D_i$  can be inverted.

any transition function  $\varphi_j \circ \varphi_i^{-1}(\mathbb{z}) = \mathbb{z} + \lambda_{ij}(\mathbb{z})$ ,  $\lambda_{ij}: V \subseteq \mathbb{C}^n \rightarrow \Lambda \Rightarrow \lambda_{ij}$  constant.  
cont. open connected

4. **Hopf surface**:  $H^2 = \mathbb{C}^2 \setminus \{(0,0)\} / (\mathbb{z} \sim 2\mathbb{z})$  is a complex manifold according to Example sheet 1 question 2.

As a real 4-manifold, it is diffeomorphic to  $S^3 \times S^1$ . One can show (later) that  $H^2$  is not projective. We can also generalize this to higher dimensions. We can define  $H^n$  for each  $n \in \mathbb{N}$  as in the example sheet.  $H^1$  is biholomorphic to an elliptic curve (1 dim. complex torus).

5. **Complex Grassmannians**: start with  $V$  an  $n$ -dimensional complex vector space. Then

$$\text{Gr}_k(V) = \{ \text{k-dim complex-linear subspaces } W \subseteq V \}, \quad k < n$$

e.g.  $k=1$ , then  $V = \mathbb{C}^{n+1}$ , then  $\text{Gr}_k(V) = \mathbb{CP}^n$ .

How is this a valid manifold?  $W$  can be given by some  $k \times n$  complex matrix with  $\text{rank } \mathbb{C} = k$  (choice of basis). We may diagonalise a non-singular  $k \times k$  part to obtain  $k(n-k)$  "free parameters".

**Remark**:  $\text{Gr}_k(V)$  is compact,  $k(n-k)$ -dimensional complex manifold. Moreover, it is also projective (Q6, Sheet 1).

Proof of compactness:  $(\mathbb{C}^{k,n})^* := \{ \text{linearly independent k-tuples in } \mathbb{C}^n \} \subset \mathbb{C}^{k,n}$  is open. Define projection map  $\pi: (\mathbb{C}^{k,n})^* \rightarrow \text{Gr}_k(\mathbb{C}^n)$

which induces the quotient topology on  $\text{Gr}_k(\mathbb{C}^n)$ , making  $\pi$  continuous. Denote  $(\mathbb{C}^{k,n})^*_u$  to mean the orthonormal  $k$ -tuples (wrt Hermitian inner product). Then  $(\mathbb{C}^{k,n})^*_u \subset \mathbb{C}^{k,n}$  is closed and bounded. Hence  $(\mathbb{C}^{k,n})^*_u$  is compact. Since  $\text{Gr}_k(\mathbb{C}^n)$  is the image of a compact set under a continuous map, it is also continuous.

6. **Complex Lie groups**.  $G: (g,h) \in G \times G \rightarrow gh^{-1} \in G$  holomorphic.

E.g.  $GL(n, \mathbb{C})$  open in  $\text{Mat}_n(\mathbb{C}) \cong \mathbb{C}^{n^2}$ .

$so(n, \mathbb{C})$  also a lie group. Proof is similar to real analogue.

N.B.  $so(n, \mathbb{C})$  not compact but  $so(n, \mathbb{R})$  compact.

Non e.g.  $U(n)$  is not a complex manifold.

## 2. Tangent spaces and Holomorphic tangent bundles

Let  $M$  be a complex  $2n$ -dim manifold. Then  $M$  is a real  $2n$ -dim manifold. For  $p \in M$ , let  $z_j = x_j + iy_j$  be local complex coords around  $p$ . The  $x_j, y_j$  are our real coords.

The (real) tangent space  $T_p M = \text{span}_{\mathbb{R}} \left\langle \frac{\partial}{\partial x_j}, \frac{\partial}{\partial y_j} \right\rangle_{j=1, \dots, n}$ .

Then set  $J_p \in GL_{\mathbb{R}}(T_p M) \subset \text{End}_{\mathbb{R}}(T_p M)$  by

$$\begin{aligned} \frac{\partial}{\partial x_j} &\mapsto \frac{\partial}{\partial y_j} \\ \frac{\partial}{\partial y_j} &\mapsto -\frac{\partial}{\partial x_j} \end{aligned} \quad \forall j \quad \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

I.e.  $J_p^2 = -I$   
identity

Consider the complexified tangent space:  $T_p M \otimes_{\mathbb{R}} \mathbb{C} = \text{span}_{\mathbb{C}} \left\langle \frac{\partial}{\partial z_j}, \frac{\partial}{\partial \bar{z}_j} \right\rangle_{j=1, \dots, n}$ .

We can think of  $J_p$  as an endomorphism  $\in \text{End}_{\mathbb{C}}(T_p M \otimes \mathbb{C})$  by it's complex linear extension. Still have that  $J_p^2 = -I$ . Every eigenvalue of  $J_p$  must square to  $-1$ , so possible  $e$  values are  $\pm i$ .

Define complex subspaces

$$\begin{aligned} T_p^{1,0} M &= \left\{ v \in T_p M \otimes \mathbb{C} : J_p v = i v \right\} && \text{holomorphic tangent space} \\ T_p^{0,1} M &= \left\{ v \in T_p M \otimes \mathbb{C} : J_p v = -i v \right\} && \text{antiholomorphic tangent space} \end{aligned}$$

We have a complex conjugation on  $T_p M \otimes \mathbb{C}$  induced by  $u \otimes \lambda \mapsto u \otimes \bar{\lambda} \quad \forall u \in T_p M \text{ and } \lambda \in \mathbb{C}$  and extending linearly over reals. It's not complex linear but is real linear and invertible. The map interchanges  $T_p^{1,0} M \rightarrow T_p^{0,1} M$ ,  $T_p^{0,1} M \rightarrow T_p^{1,0} M$ , since  $J_p$  has real coefficients.

**Proposition:** (i)  $\dim_{\mathbb{C}} T_p^{1,0} M = \dim_{\mathbb{C}} T_p^{0,1} M = n$  ( $\dim(M) = n$ )

(ii)  $J_p$  and hence  $*$  is defined independent of choice of coordinates. Moreover,  $J_p, p \in M$  defines a smooth section of  $\text{End}(TM)$ . Call  $J \in \text{End}(TM)$ ,  $J(p) := J_p$ .

right now just think of as variables

proof: (i) Consider a change of basis  $\frac{\partial}{\partial \bar{z}_j}, \frac{\partial}{\partial z_j}$  (e.g.  $\frac{\partial}{\partial \bar{z}_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right)$ ,  $\frac{\partial}{\partial z_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right)$ )

direct calculation  $\Rightarrow T_p^{1,0} M = \left\{ v - i J v \mid v \in T_p M \right\}$   $T_p^{0,1} M = \left\{ v + i J v \mid v \in T_p M \right\}$   
spanned by  $\left\{ \frac{\partial}{\partial \bar{z}_j} \right\}_{j=1, \dots, n}$  spanned by  $\left\{ \frac{\partial}{\partial z_j} \right\}_{j=1, \dots, n}$

Thus as a real vector space,  $T_p M$  is isomorphic to  $T_p^{1,0} M$  and  $T_p^{0,1} M$ . So loosely speaking, as real v.s.  $\dim_{\mathbb{R}}(T_p M) = 2n$  and  $\dim_{\mathbb{R}}(T_p^{1,0} M) = \dim_{\mathbb{R}}(T_p^{0,1} M) = 2n$ , so  $\dim_{\mathbb{C}}(T_p^{1,0} M) = \dim_{\mathbb{C}}(T_p^{0,1} M) = n$ .

(ii) Recall that real tangent vectors are equivalent to derivations  $\left( \sum_i x^i \frac{\partial}{\partial x^i} \right) (f)$  for  $f \in C^{\infty}(M)$  acting on  $C^{\infty}(M, \mathbb{R})$ . So complex tangent vectors are respectively derivations acting on  $C^{\infty}(M, \mathbb{C})$  by looking at the real and imaginary parts. Thus

$T^{0,1} =$  derivations vanishing precisely on holomorphic functions on  $M$   
 $T^{1,0} =$  derivations vanishing precisely on antiholomorphic functions on  $M$

$\Rightarrow$  the  $\pm i$  eigenspaces are invariantly defined because the above two statements are invariant of local coords. Taking their direct sum gives  $T^{1,0} \oplus T^{0,1} = TM \otimes \mathbb{C}$ . Thus  $J$  is invariantly defined on all  $TM \otimes \mathbb{C}$ . (Smoothness in  $p$  comes from the local coord expression). □

**Lemma:** On overlaps of complex coord. nhds with coords  $(z_j), (w_j)$ , we have

$$\frac{\partial}{\partial w_k} = \sum_j \frac{\partial z_j}{\partial w_k} \frac{\partial}{\partial z_j} \quad \text{and} \quad \frac{\partial}{\partial \bar{w}_k} = \sum_j \frac{\partial \bar{z}_j}{\partial \bar{w}_k} \frac{\partial}{\partial \bar{z}_j}$$

Recall that for a holo  $f: U \subseteq \mathbb{C}^n \rightarrow \mathbb{C}^m$ , the Jacobian  $J_{\mathbb{R}}(f)$  wrt.  $\frac{\partial}{\partial z_j}, \frac{\partial}{\partial \bar{z}_j}$  is similar via  $\frac{\partial}{\partial z_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right)$  to  $\begin{pmatrix} J(f) & 0 \\ 0 & \overline{J(f)} \end{pmatrix}$

Combining with the lemma:

**Prop:** Every real manifold underlying a complex manifold is oriented.

proof: Indeed,  $\det J_{\mathbb{R}}(f) > 0$  holds  $\forall z = f(w)$  on the overlap of coord nhds 5.59  

Define  $\bigsqcup_{p \in M} T_p^{1,0} M =: T^{1,0} M$ , the holomorphic tangent bundle of  $M$

**Rem:** this is a complex subbundle of  $TM \otimes \mathbb{C}$ .

Sections of this bundle  $T^{1,0} M$  act also as derivations on  $C^\infty(M, \mathbb{C})$ .

**Dfn:** a section  $\xi \in \Gamma(T^{1,0} M)$  is a holomorphic vector field if  $\forall f \in C^\infty(M, \mathbb{C})$  holomorphic  $\xi f$  is also holomorphic.

Can also define  $\bigsqcup_{p \in M} T_p^{0,1} M =: T^{0,1} M$  to be the antiholo tangent bundle.

**Note:**  $f: U \subset M \rightarrow \mathbb{C}$  is holomorphic if  $\xi f = 0 \quad \forall \xi \in \Gamma(T^{0,1} M)$

Recall  $J \in \Gamma(\text{End } TM)$  (from prop (ii)  $J$  is well defined)

**Remark:** we have a standard representation of  $GL(n, \mathbb{C})$  on  $\mathbb{R}^{2n}$  i.e. an injective homomorphism  $GL(n, \mathbb{C}) \xrightarrow{\phi} GL(2n, \mathbb{R})$  Each complex entry  $a+ib$  becomes a  $2 \times 2$  real matrix  $\begin{pmatrix} a & -b \\ b & a \end{pmatrix}$ .  
Then  $\phi(GL(n, \mathbb{C})) =$  subgroup of  $GL(2n, \mathbb{R})$  commuting with

$$J_0 = \begin{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} & & 0 \\ & \ddots & \\ 0 & & \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \end{pmatrix}$$

so  $\forall f$ , we have that a change of complex coords gives that Cauchy-Riemann for  $f \Rightarrow J_{\mathbb{R}}(f) \in \phi(GL(n, \mathbb{C}))$ .

Then a holomorphic atlas of  $M$ , via  $J$ , induces a reduction of the structure group of the v.b.  $TM$  from  $GL(2n, \mathbb{R})$  to  $GL(n, \mathbb{C})$ , hence making  $TM$  into a complex v.b. ( $\text{rank}_{\mathbb{C}} = n$ ). This happens to be isomorphic to the holomorphic tangent bundle via  $v \in TM \mapsto (v - iJv) \in T^{1,0} M$ .

Locally, this is induced by  $a \frac{\partial}{\partial x_k} + b \frac{\partial}{\partial y_k} \mapsto 2(a+ib) \frac{\partial}{\partial z_k}$

Using  $-J$  in place of  $J$ , we get an isomorphism of v.b.  $TM \rightarrow T^{0,1} M$ .

**Recall:** if  $f: M \rightarrow N$  is smooth between real manifolds, then

$$df_p: T_p M \rightarrow T_{f(p)} N \quad \text{linear}$$

We can construct a complex extension of this by:

$$df_p: T_p M \otimes \mathbb{C} \rightarrow T_{f(p)} \otimes \mathbb{C} \quad (\text{complex linear})$$

**Prop:** For a smooth map between complex manifolds,  $f: M \rightarrow N$ , then the following are equivalent.

- (i)  $f$  is holomorphic
- (ii)  $df \circ J_M = J_N \circ df$
- (iii)  $df(T^{1,0}M) \subseteq T^{1,0}N$
- (iv)  $df(T^{0,1}M) \subseteq T^{0,1}N$

proof: All statements are local  $\Rightarrow$  wlog we may consider  $f: U \subset \mathbb{C}^n \rightarrow \mathbb{C}^m$ . Use real basis  $\left\{ \frac{\partial}{\partial x_1}, \frac{\partial}{\partial y_1}, \dots, \frac{\partial}{\partial x_n}, \frac{\partial}{\partial y_n} \right\}$  on  $\mathbb{C}^n (= \mathbb{R}^{2n})$ , and  $\left\{ \frac{\partial}{\partial u_1}, \frac{\partial}{\partial v_1}, \dots, \frac{\partial}{\partial u_m}, \frac{\partial}{\partial v_m} \right\}$  for  $\mathbb{C}^m (= \mathbb{R}^{2m})$ .

(i)  $f$  is holomorphic  $\Leftrightarrow$  Cauchy-Riemann eqns on  $U$  hold

need to go over proof.  $\Leftrightarrow (df)_p$  expressed as  $J_{\mathbb{R}}(f)_p$  consisting of blocks of the form  $\begin{pmatrix} a & -b \\ b & a \end{pmatrix}$ ,  $a, b \in \mathbb{R}$ .

Now  $T^{1,0}_p$  is spanned over  $\mathbb{C}$  by  $\underline{e}_k = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix}$  all entries are 0 except  $\begin{cases} (2k-1)^{\text{th}} = 1 \\ (2k)^{\text{th}} = -i \end{cases}$   $J_p v = iv$   $n$  dimensional

Then  $J_{\mathbb{R}}(f) \underline{e}_k = \begin{pmatrix} a & -ib \\ b & -ia \end{pmatrix} \begin{pmatrix} 1 \\ -i \end{pmatrix} = \begin{pmatrix} a - ib(-i) \\ b - ia(-i) \end{pmatrix} = \begin{pmatrix} a - b \\ b - a \end{pmatrix}$  which is again a vector of type  $1,0 \Rightarrow$  (iii)

If  $f$  is holo, then the Cauchy Riemann equations hold. We saw in the previous section that the complex Jacobian  $J(f)_z$  is then similar to  $J_{\mathbb{R}}(f)$ . But this complex Jacobian is exactly  $(df)_p$ . I mean, the complex Jacobian is precisely the expression of  $(df)_p$  as a matrix when considering  $(df)_p$  acting on the tangent space  $T$  (or  $T^{1,0}$  or  $T^{0,1}$ , whichever you like) which is of course a vector space. Remember from direct calculation that  $T^{1,0}_p = \{v - iJv \mid v \in T_p M\}$ , which is  $n$  dimensional. The relation for vectors in  $T^{1,0}_p$  is equivalently  $J_p v = iv$ . The  $\underline{e}_k$  given above are clearly linearly independent, satisfy this rule, and there are  $n$  of them, so obviously they form a basis. The last line is straight forward.

(iii)  $\Leftrightarrow$  (iv)  $df$  is invariant under complex conjugation, and  $(\text{conj})$  maps  $T^{1,0}M \rightarrow T^{0,1}M$ ,  $T^{1,0}N \rightarrow T^{0,1}N$ .

(iii) and (iv)  $\Rightarrow$  (ii)  $(df)$  preserves the  $(1,0)$  and  $(0,1)$ -subspaces. But  $J_M, J_N$  acts on these by  $(\pm i)$  id.

$$\begin{aligned} df J_M(T^{1,0}M) &= df(i T^{1,0}M) = i df(T^{1,0}M) \subseteq i T^{1,0}N \\ J_N df(T^{1,0}M) &= J_N df(T^{1,0}M) \subseteq J_N(T^{1,0}N) = i T^{1,0}N \end{aligned} \quad \text{same. similarly for } (0,1).$$

(ii)  $\Rightarrow$  (i) each  $(2 \times 2)$  block  $B_{k\ell} = \begin{pmatrix} c_{2k-1, 2\ell-1} & c_{2k-1, 2\ell} \\ c_{2k, 2\ell-1} & c_{2k, 2\ell} \end{pmatrix}$  of  $(df)_p$  commutes with  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  by assuming (ii)

$$\Rightarrow B_{k\ell} = \begin{pmatrix} a & -b \\ b & a \end{pmatrix} \quad \text{for some } a, b \in \mathbb{R}$$

$\Leftrightarrow$  Cauchy Riemann hold

$\Leftrightarrow$  (i).



### 3. Complexified Cotangent space

$T_p^*M \otimes \mathbb{C} : \text{maps } T_p M \otimes \mathbb{C} \rightarrow \mathbb{C}.$

$$* \begin{cases} T_p^{1,0} M = \{ v \in T_p M \otimes \mathbb{C} : J_p v = i v \} \\ T_p^{0,1} M = \{ v \in T_p M \otimes \mathbb{C} : J_p v = -i v \} \end{cases}$$

the (dual of)  $J$  acts

$$\begin{aligned} dx_j &\mapsto -dy_j \\ dy_j &\mapsto dx_j \end{aligned} \quad \forall j=1, \dots, n.$$

We have  $\begin{cases} dz_k = dx_k + i dy_k \\ d\bar{z}_k = dx_k - i dy_k \end{cases} \left\{ \begin{array}{l} \text{note } dz: (\frac{\partial}{\partial \bar{z}_j}) = \delta_j^i \text{ and similarly for } d\bar{z}. \end{array} \right.$

Then  $dz_k$  generates the  $(+i)$  eigenspace, and  $d\bar{z}_k$  the  $-i$  eigenspace

*missing a line here*

$$\begin{aligned} (T_p^{1,0} M)^* &= \{ \varphi \in T_p^* M \otimes \mathbb{C} : J_p^* \varphi = i \varphi \} \\ (T_p^{0,1} M)^* &= \{ \varphi \in T_p^* M \otimes \mathbb{C} : J_p^* \varphi = -i \varphi \} \end{aligned}$$

Rem:  $\{ \frac{\partial}{\partial \bar{z}_k} \}$  spans  $T^{1,0} M$ , and since the holo cotangent space is the dual of the holo tangent space, we immediately get a basis for  $(T^{1,0} M)^* = \{ dz_k \}$  by the above observation. The idea of spanning the  $(i)$  and  $(-i)$  eigenspaces follows from this dualisation.

Recall subbundles  $(T^* M)^{1,0}, (T^* M)^{0,1}$  on a complex manifold  $M$  induced by  $J$ .

We can define

$$\Lambda^r (T^* M \otimes \mathbb{C}) = \bigoplus_{p+q=r} \Lambda^{p,q} (T^* M \otimes \mathbb{C})$$

where  $\Lambda^{p,q} (T^* M \otimes \mathbb{C}) = \Lambda^p (T^* M)^{1,0} \wedge \Lambda^q (T^* M)^{0,1}.$

This is just the standard (complexified) wedge product.

Under complex conjugation we have that  $\overline{\Lambda^{p,q}} = \Lambda^{q,p} \quad \forall q, p.$

The sections are  $\Omega^{p,q}(M) : \text{complex differential forms of type } (p,q).$  In local coordinates,

$$\sum_{I, J} a_{I, J} \underbrace{dz_{i_1} \wedge \dots \wedge dz_{i_p}}_{dz_I} \wedge \underbrace{d\bar{z}_{j_1} \wedge \dots \wedge d\bar{z}_{j_q}}_{d\bar{z}_J}$$

The induced action of  $J$  is  $J\varphi = i^{p-q} \varphi$  for  $\varphi \in \Omega^{p,q}$  (we're just dropping the dual  $J$  notation for  $J$ )

Notice that  $J dz_i = i d\bar{z}_j$  and  $J d\bar{z}_j = -i dz_i = i^{-1} d\bar{z}_j$  since  $(-1)i = (i)^{-2} \cdot i = (i)^{-1}$

Note that  $\underbrace{\Omega^{p,p}(M)}_{\text{real forms}} \cap \underbrace{\Omega^{2,p}(M)}_{\text{real forms}} = \Omega_{\mathbb{R}}^{p,p}(M)$  real  $(p,p)$ -forms (i.e. invariant under complex conjugation)

$K_M = \Lambda^{n,0} (T^* M \otimes \mathbb{C})$ , where  $n = \dim_{\mathbb{C}} M = \Lambda^n (T^* M)^{1,0}$ , is called the canonical line bundle

Recall on a real manifold we have an exterior derivative:

$$d: \Omega^0(M) \rightarrow \Omega^1(M) = \Omega'^0(M) \oplus \Omega^{0,1}(M)$$

So write  $d = \partial + \bar{\partial}$ , where  $\partial = \pi'^0 \circ d$ ,  $\bar{\partial} = \pi^{0,1} \circ d$ , where  $\pi^{p,q}: \Omega^*(M) \rightarrow \Omega^{p,q}(M)$  projection along other components of  $\oplus$ .

Locally, for a complex function  $f$ ,

$$\partial f = \sum_k \frac{\partial f}{\partial z_k} dz_k, \quad \bar{\partial} f = \sum_k \frac{\partial f}{\partial \bar{z}_k} d\bar{z}_k$$

Generally for  $\alpha \in \Omega^{p,q}(M)$  (pure type, only nontrivial component in one type), then define

$$\begin{aligned} \partial \alpha &:= (\pi^{p+1,q} \circ d) \alpha \\ \bar{\partial} \alpha &:= (\pi^{p,q+1} \circ d) \alpha \end{aligned}$$

For  $\alpha \in \Omega^{p,0}(M)$ ,  $\bar{\partial} \alpha = 0$  iff locally  $\alpha = \sum_I f_I(z) dz_1 \wedge \dots \wedge dz_p$  with all  $f_I$  holomorphic. Such a form  $\alpha$  is then called a holomorphic  $p$ -form. Holomorphic 1-forms are sometimes called holomorphic differentials.

**Lemma:** on a complex manifold  $M$

- (i)  $\forall \eta \in \Omega^{p,q}(M)$ ,  $d\eta = \partial\eta + \bar{\partial}\eta$  (the above  $d$  is defined on  $\Omega^0(M)$ , we're extending to higher degrees)
- (ii)  $\partial^2 = 0 = \bar{\partial}^2$ , and  $\partial\bar{\partial} = -\bar{\partial}\partial$
- (iii)  $\bar{\partial}(\xi \wedge \eta) = \bar{\partial}\xi \wedge \eta + (-1)^{p+q} \xi \wedge \bar{\partial}\eta$ ,  $\xi \in \Omega^{p,q}(M)$  (Similarly for  $\partial$ )

proof: the statements are local

- (i) easy to check in local coords:  $d(f dz_1 \wedge d\bar{z}_j) = (\partial f + \bar{\partial} f) dz_1 \wedge d\bar{z}_j$  and extend by linearity. Note both sides defined are independent of choice of coords.

- (ii) Clear from (i) and  $d^2 = 0$ .  $\partial f dz_1 \wedge d\bar{z}_j + \bar{\partial} f dz_1 \wedge d\bar{z}_j$

$$\begin{aligned} 0 &= d(\partial f dz_1 \wedge d\bar{z}_j + \bar{\partial} f dz_1 \wedge d\bar{z}_j) \\ &= \partial^2 f dz_1 \wedge d\bar{z}_j + \bar{\partial} \partial f dz_1 \wedge d\bar{z}_j + \partial \bar{\partial} f dz_1 \wedge d\bar{z}_j + \bar{\partial}^2 f dz_1 \wedge d\bar{z}_j \quad (*) \end{aligned}$$

Then the idea is to compare the terms  $\dots dz_1 \wedge d\bar{z}_j$ , and notice that the ones that have  $\partial^2$  and  $\bar{\partial}^2$  coefficients have no way to cancel except for when  $\partial^2$  and  $\bar{\partial}^2 = 0$ . The terms  $\bar{\partial} \partial f dz_1 \wedge d\bar{z}_j$  and  $\partial \bar{\partial} f dz_1 \wedge d\bar{z}_j$  have the same monomials (this takes a little bit of a check with antisymmetry of wedge) and so for (\*) to vanish we need  $\partial \bar{\partial} = -\bar{\partial} \partial$ .

- (iii) wlog let  $\eta \in \Omega^{p',q'}(M)$  be pure type. Take  $(p+p'+1, q+q')$  and  $(p+p', q+q'+1)$  components of  $d(\xi \wedge \eta)$ . Then extend complex-linearly to any  $\eta$

say  $\eta = \Omega^{p',q'}(M)$ ,  $\eta = f dz_1 \wedge \dots \wedge dz_{p'} \wedge d\bar{z}_1 \wedge \dots \wedge d\bar{z}_{q'} = f dz_1 \wedge d\bar{z}_j$ . Take  $\xi \wedge \eta$ , and then apply  $d$ :

$$\begin{aligned} d(\xi \wedge \eta) &= d(\xi \wedge f dz_1 \wedge d\bar{z}_j) \\ &= d\xi \wedge (f dz_1 \wedge d\bar{z}_j) + (-1)^{p'+q'} \xi \wedge d(f dz_1 \wedge d\bar{z}_j) \quad (\text{standard result}) \\ &\Rightarrow \text{result follows considering } \partial \text{ and } \bar{\partial}. \end{aligned}$$





**Corollary:**  $d(\Omega^{p,q}(M)) = \Omega^{p+1,q}(M) \oplus \Omega^{p,q+1}(M)$  (from (i))

Also (iii) and (i) continue to hold without the pure type assumption by complex-linearity.

It is sometimes convenient to (equivalently) replace  $\partial, \bar{\partial}$  by  $d$  and  $d^c$

$$d = \partial + \bar{\partial}, \text{ and } d^c = i(\bar{\partial} - \partial).$$

Both act on real differential forms. So  $\partial = \frac{1}{2}(d + id^c)$ , and  $\bar{\partial} = \frac{1}{2}(d - id^c)$ . Also  $(d^c)^c = 0$ , and  $dd^c = -d^cd = 2i\partial\bar{\partial}$

Recall pull-back of differential forms by a smooth map  $f: M \rightarrow N$  is  $f^*: \Omega^1(N)^{\mathbb{C}} \rightarrow \Omega^1(M)^{\mathbb{C}}$  by  $\langle f^*\alpha, X \rangle = \langle \alpha, (df)(X) \rangle \quad \forall$  vector fields  $X$

If  $f$  is holomorphic and  $\alpha \in \Omega^{1,0}(M)$  (resp.  $\Omega^{0,1}(M)$ ) (i.e.  $\mathcal{I}\alpha = i\alpha$ ), then

$$\begin{aligned} \langle \mathcal{I}f^*\alpha, X \rangle &= \langle f^*\alpha, \mathcal{I}X \rangle && \alpha \text{ is complex linear?} \\ &= \langle \alpha, (df)\mathcal{I}X \rangle && df \circ \mathcal{I} = \mathcal{I} \circ df \text{ from our previous lemma} \\ &= \langle \alpha, \mathcal{I}(df)X \rangle \\ &= \langle \mathcal{I}\alpha, (df)X \rangle \\ &= \langle i\alpha, (df)X \rangle \\ &= \langle if^*\alpha, X \rangle \quad \forall X \end{aligned}$$

Thus  $f^*\alpha \in \Omega^{1,0}(M)$ , and similar for  $\Omega^{0,1}(M)$ . Further, since  $f^*(\xi \wedge \eta) = f^*\xi \wedge f^*\eta$ , (naturality)

**Proposition:** the pullback by a holo map preserves the type decomposition.

Further,  $f^* \circ d = d \circ f^* \quad \forall$  smooth  $f$ , if  $f$  is holomorphic, then  $\forall \eta \in \Omega^{p,q}(M)$ ,

$$(\bar{\partial} \circ f^*)\eta = (\pi^{p,q+1} \circ d \circ f^*)\eta = \pi^{p,q+1} \circ f^* \circ d\eta = f^* \circ \pi^{p,q+1} \circ d\eta = f^* \circ \bar{\partial}\eta$$

$\uparrow$   
 $f \text{ is holo (from proposition preserves type)}$

We obtain the following proposition

**Proposition:**  $\bar{\partial} \circ f^* = f^* \circ \bar{\partial}$  and similarly  $\partial \circ f^* = f^* \circ \partial$  whenever  $f$  is holomorphic.

**Definition:** Dolbeault Cohomology

$$H^{p,q}(M) = \frac{\{\text{Ker } \bar{\partial} : \Omega^{p,q}(M) \rightarrow \Omega^{p,q+1}(M)\}}{\{\text{Im } \bar{\partial} : \Omega^{p,q-1}(M) \rightarrow \Omega^{p,q}(M)\}}$$

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**Corollary:** if  $f: M \rightarrow N$  is holomorphic, then  $f^*: H^{p,q}(N) \rightarrow H^{p,q}(M)$  is a well-defined, complex-linear map. Moreover, if  $f$  is biholomorphic, then  $f^*: H^{p,q}(N) \xrightarrow{\sim} H^{p,q}(M)$  is an isomorphism.

**Remarks** ③ not true in general that  $\bigoplus_{p+q=r} H^{p,q}(M) = H_{\text{dR}}^r(M)^{\mathbb{C}}$

②  $H^{p,q}(M)$  are not topological invariants.

notation: for  $s \subseteq \mathbb{R}^n$  or  $\mathbb{C}^n$ , and  $f: \mathbb{R} \rightarrow \mathbb{R}$ ,  $f \in C^\infty(s)$  means  $\exists$  open  $U \supset s$ , smooth  $(C^\infty)$   $F: U \rightarrow \mathbb{R}$  such that  $f = F|_s$ .

## $\bar{\partial}$ -Poincaré lemma in one variable

Let  $D = \{z \in \mathbb{C} : |z-a| < r\}$ ,  $g \in C^\infty(\bar{D})$ , where  $\bar{D}$  is the closed disc. Then we can define a smooth function

$$f(z) := \frac{1}{2\pi i} \int_D \frac{g(w)}{w-z} dw \wedge d\bar{w} \in C^\infty(D) \text{ satisfying } \frac{\partial f}{\partial \bar{z}} = g \text{ on } D.$$

To prove this, we need a lemma known as the **extended Cauchy Integral formula**:

If  $F \in C^\infty\{|z-a| \leq r\}$  and  $z \in \mathbb{C}$  s.t.  $|z-a| < r$ , then

$$F(z) = \frac{1}{2\pi i} \int_{|w-a|=r} \frac{F(w)}{w-z} dw + \frac{1}{2\pi i} \int_{|w-a|<r} \frac{\partial F}{\partial \bar{w}}(w) \frac{dw \wedge d\bar{w}}{w-z}$$

proof: Stokes theorem for 1-form  $\eta = \frac{1}{2\pi i} \frac{F(w)}{w-z} dw$  on  $D_\varepsilon = \{|w-a| < r\} \setminus \{|w-z| < \varepsilon\}$

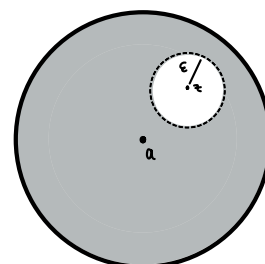
Rem: if we assume  $F$  is holo, then  $\frac{\partial F}{\partial \bar{w}} = 0$  and second term vanishes (get original Cauchy Integral formula)

Then  $d\eta = -\frac{1}{2\pi i} \frac{\partial F}{\partial \bar{w}} \frac{dw \wedge d\bar{w}}{w-z}$ . Calculate then that

$$\int_{D_\varepsilon} d\eta = \int_{|w-a|=r} \eta - \int_{|w-z|=\varepsilon} \eta \quad (\text{Stokes w/ boundary})$$

$$\text{Now } \int_{|w-a|=\varepsilon} \eta = \frac{1}{2\pi i} \int_0^{2\pi} F(z + \varepsilon e^{i\theta}) d\theta \rightarrow F(z) \text{ as } \varepsilon \downarrow 0 \quad (\text{tends to 0 from above})$$

(This just follows by using a change of coordinates  $w \mapsto \theta$ ,  $w = z + \varepsilon e^{i\theta}$ .)



$D_\varepsilon \rightarrow D$  as  $\varepsilon \downarrow 0$

$$\text{Pole order 1 is integrable: } \left| \frac{\partial F}{\partial \bar{w}} \frac{dw \wedge d\bar{w}}{w-z} \right| = \left| \frac{\partial F}{\partial \bar{w}} \cdot \frac{2dx \wedge dy}{r} \right| = \left| \frac{2 \frac{\partial F}{\partial \bar{w}}}{r} dr \wedge d\theta \right| \quad \left. \begin{array}{l} \text{to polar} \\ \text{coords} \end{array} \right\} \text{Justification that LHS makes sense.}$$

$r^2 = x^2 + y^2$

$$(dw \wedge d\bar{w} = -2i dx \wedge dy = -2i r dr \wedge d\theta)$$

$$w = x + iy, \quad (r, \theta) \text{ polar from } (x, y).$$

which is integrable  
Can take  $\varepsilon \rightarrow 0$  in 2-dimensional integral.

Putting this all together (as  $\varepsilon \downarrow 0$ ),

$$\int_D -\frac{1}{2\pi i} \frac{\partial F}{\partial \bar{w}} \frac{dw \wedge d\bar{w}}{w-z} = \int_{|w-a|=r} \frac{1}{2\pi i} \frac{F(w)}{w-z} dw - F(z)$$

$$\Leftrightarrow F(z) = \frac{1}{2\pi i} \int_{|w-a|=r} \frac{F(w)}{w-z} dw + \frac{1}{2\pi i} \int_{|w-a|<r} \frac{\partial F}{\partial \bar{w}} \frac{dw \wedge d\bar{w}}{w-z}, \text{ as required.}$$



# Proof of 1-dim Poincaré

Let  $z_0 \in D$ , and choose  $D_0 = \{ |z - z_0| < 2\varepsilon \} \subset D$  ( $\bar{D}_0 \subset D$ )

$g(z) = g_1(z) + g_2(z)$  both smooth s.t.  $g_1|_{\{|z-z_0| > 2\varepsilon\}} \equiv 0$  and  $g_2|_{\{|z-z_0| \leq \varepsilon\}} \equiv 0$

Let  $f_2(z) = \frac{1}{2\pi i} \int_{D_0} \frac{g_2(w)}{w-z} dw \wedge d\bar{w}$ . The function we're integrating is smooth and bounded, <sup>for  $z$  near  $z_0$</sup>  so integral is well defined - not an improper integral.

Then  $\frac{\partial f_2}{\partial \bar{z}}(z) = \frac{1}{2\pi i} \int_{D_0} \underbrace{\frac{\partial}{\partial \bar{z}} \left( \frac{g_2(w)}{w-z} \right)}_{\text{function vanishes}} dw \wedge d\bar{w}$  function is smooth and bounded, and on a bounded domain.  
 $= 0$

So just need to consider  $g_1$  for the proposed definition of  $f$ .

$g_1$  has compact support, so

$$f_1(z) := \frac{1}{2\pi i} \int_{D_0} \frac{g_1(w)}{w-z} dw \wedge d\bar{w} = \frac{1}{2\pi i} \int_{\mathbb{C}} \frac{g_1(w)}{w-z} dw \wedge d\bar{w} \quad \text{extend by 0}$$

$$= \frac{1}{2\pi i} \int_{\mathbb{C}} \frac{g(u+z)}{u} du \wedge d\bar{u} \quad u = w-z$$

$$= -\frac{1}{\pi} \int_{\mathbb{C}} \frac{g_1(z + re^{i\theta})}{e^{i\theta}} dr \wedge d\theta$$

$\Rightarrow$  well defined and smooth in  $z$ .

Consider then

$$\frac{\partial f_1}{\partial \bar{z}} = -\frac{1}{\pi} \int_{\mathbb{C}} \frac{\partial g_1}{\partial \bar{z}}(z + re^{i\theta}) e^{-i\theta} dr \wedge d\theta = \frac{1}{2\pi i} \int_{D_0} \frac{\partial g_1}{\partial \bar{w}}(w) \frac{dw \wedge d\bar{w}}{w-z}$$

back to  $w$  variable

From lemma,

$$g_1(z) = \frac{1}{2\pi i} \int_{|w-z|=r} \frac{g_1(w)}{w-z} dw + \frac{1}{2\pi i} \int_{|w-z|<r} \frac{\partial g_1}{\partial \bar{w}} \frac{dw \wedge d\bar{w}}{w-z}$$

$g_1$  vanishes on this contour

So in sum we have

$$\frac{\partial f_1}{\partial \bar{z}}(z_0) = g_1(z_0) = g(z_0), \text{ and } \frac{\partial f}{\partial \bar{z}}(z_0) = \frac{\partial f_1}{\partial \bar{z}}(z_0) \quad \text{as } \frac{\partial f_2}{\partial \bar{z}} \equiv 0 \text{ near } z_0$$



# (General dimensional) $\bar{\partial}$ - Poincaré Lemma

Let  $D = \{ |z_1 - a_1| < r_1 \} \times \dots \times \{ |z_m - a_m| < r_m \} \subseteq \mathbb{C}^m$  a polydisc, with possibly some  $r_k = \infty$ .

Then  $H^{p,q}(D) = 0$  for  $q > 1$ .

proof: let  $\varphi \in \Omega^{p,q}(D)$  be closed,  $\bar{\partial}\varphi = 0$ . Without loss of generality,  $p=0$  as we can always write  $\varphi = \eta \wedge d\bar{z}_j$ . And  $\bar{\partial}\varphi = \bar{\partial}\eta \wedge d\bar{z}_j$ , which vanishes  $\Leftrightarrow \bar{\partial}\eta = 0$ . So if we can prove it for  $p=0$  it extends immediately to all  $p$ .

So let  $\varphi \in \Omega^{0,q}(D)$ .

Claim:  $\exists \psi \in \Omega^{0,q-1}(D_0)$  s.t.  $\bar{\partial}\psi = \varphi$  on  $D_0$ , where  $D_0$  is a smaller polydisc with radii  $\varepsilon_k < r_k$ ,  $k=1, \dots, m$ .

Proceed by "integrating"  $d\bar{z}_n$ , then  $d\bar{z}_{n-1}, \dots$ .

Suppose that only  $d\bar{z}_1, \dots, d\bar{z}_k$  occur in  $\varphi$ . Then we can write  $\varphi = d\bar{z}_k \wedge \varphi_1 + \varphi_2$  for some unique  $\varphi_1, \varphi_2$  that do not contain  $d\bar{z}_k$ . Since  $\varphi$  is  $\bar{\partial}$ -closed,  $\Rightarrow$  for  $\varphi_1 = \sum_I \varphi_I d\bar{z}_I$ ,  $I \subseteq \{1, \dots, k\}$ , we have

$$\frac{\partial \varphi_I}{\partial \bar{z}_\ell} = 0 \quad \forall \ell > k$$

Set  $\eta_I := \int_{|w_k - a_k| \leq \varepsilon_k} \varphi(\dots, w_k, \dots) \frac{dw_k \wedge d\bar{w}_k}{w_k - \bar{z}_k}$ . Then

$$\frac{\partial \eta_I}{\partial \bar{z}_k} = \varphi_I \quad \text{by Cauchy Integral formula.}$$

$$\text{But } \frac{\partial \eta_k}{\partial \bar{z}_k} = 0 \quad \forall \ell > k \quad \text{as } \frac{\partial \varphi_1}{\partial \bar{z}_k} = 0$$

$$\Rightarrow \varphi - \bar{\partial}(\sum \eta_I d\bar{z}_I) = \varphi_2 \quad \text{with no } d\bar{z}_k \text{ occurring.}$$

Repeating in each variable, we obtain  $\psi$ .

NB: we needed to reduce  $D$  to  $D_0$ . To now solve the  $\bar{\partial}$  equation on all of  $D$ , take  $\varepsilon_k^{(n)} \uparrow r_k$  as  $n \rightarrow \infty$   $\forall k=1, \dots, m$ . Then  $\exists \psi_n \in \Omega^{0,q-1}(D)$  s.t.  $\bar{\partial}\psi_n = \varphi$  on  $D_n$  polydisc with  $\varepsilon_k^{(n)} \uparrow r_k$  ( $\bigcup_{n=0}^\infty D_n = D$ ).

Claim:  $\psi_n$  will converge as  $n \rightarrow \infty$ .

pf: Induct on  $q$ : assume true for  $0, \dots, (q-1)$ -form  $\varphi$ , where  $q \geq 2$ . Then  $\exists \alpha$  such that

$$\bar{\partial}\alpha = \varphi \text{ on } D_{n+1} \quad \Rightarrow \quad \bar{\partial}(\alpha - \psi_n) = 0 \text{ on } D_n \text{ by inductive assumption}$$

$\Rightarrow \exists \beta \in \Omega^{0,q-2}(D)$  such that  $\bar{\partial}\beta = \alpha - \psi_n$  on  $D_{n+1}$ . Set  $\psi_{n+1} = \alpha + \bar{\partial}\beta$ . Then  $\bar{\partial}\psi_{n+1} = \bar{\partial}\alpha = \varphi$  on  $D_{n+1}$ , and  $\psi_{n+1}|_{D_n} = \psi_n|_{D_n}$ . So this sequence  $\{\psi_n\}$  is convergent to a well defined  $\psi$  as  $n \rightarrow \infty$ , and  $\bar{\partial}\psi = \varphi$  on  $D$ .

For our induction it remains to show  $\bar{\partial}$  - Poincaré for  $(0,1)$ -forms ( $q=1$ ): I.e. given  $\forall \varphi \in \Omega^{0,1}(D)$  with  $\bar{\partial}\varphi=0$  any  $\forall$  open polydisc  $D_0$  with  $\bar{D}_0 \subset D$ ,  $\exists \psi_0 \in C^\infty(D)$  with  $\bar{\partial}\psi_0 = \varphi$  on  $D_0$ . Then in fact  $\exists \psi_0 \in C^\infty(D)$  with  $\bar{\partial}\psi_0 = \varphi$  on  $D$ .

As before, take same sequence  $D_n$  of polydiscs.  $\exists \psi_n \in C^\infty(D)$  s.t.  $\bar{\partial}\psi_n = \varphi$  on  $D_n$ , and  $\exists \alpha \in C^\infty(D)$  such that  $\bar{\partial}\alpha = \varphi$  on  $D_{n+1}$ . Then  $\psi_n - \alpha$  is a holomorphic function on  $D_n$  since it satisfies the CR equations. Thus it is represented by a power series on  $D_n$  converging uniformly on  $\bar{D}_{n-1}$  (on any compact subset). Hence  $\exists$  partial sum (a holo polynomial)  $\beta$  such that

$$\sup_{\bar{D}_{n-1}} |\psi_n - \alpha - \beta| < \frac{1}{2^n}$$

Set  $\psi_{n+1} = \alpha + \beta \Rightarrow \bar{\partial}\psi_{n+1} = \bar{\partial}(\alpha + \beta) = \bar{\partial}\alpha = \varphi$  on  $D_{n+1}$   
 $\beta$  holo

Moreover,  $\psi_{n+1} - \psi_n$  is holo on  $D_n$  with  $\sup_{\bar{D}_{n-1}} |\psi_{n+1} - \psi_n| < \frac{1}{2^n}$ , so we obtain a sequence  $(\psi_n)_{n=0}^\infty$  in  $C^\infty(D)$  with uniform convergence  $\psi_n \rightarrow \psi$  ( $n \rightarrow \infty$ ) on compact subsets of  $D$ . Therefore (for all fixed  $n$ )

$$\lim_{k \rightarrow \infty} (\psi_k - \psi_n) \text{ is holomorphic on } D_{n-1}$$

(uniform limit of holo functions). and  $\bar{\partial}\psi = \varphi$  on  $D$  (because it's true  $\forall n$ ). □

What about the remaining groups?

Rem:  $H^{p,0}(\mathbb{C}^n) = \{ \text{space of all holomorphic } p\text{-forms} \}$  is infinite dim

$H^{0,0}(M) \cong \mathbb{C}$  for any compact complex manifold  $M$  since this is the space of holomorphic functions on  $M$ , and any holomorphic function on a compact manifold is constant.

(shall later see  $\dim H^{p,0}(M) < \infty$  for compact  $M$  if Kähler)

## Almost Complex Manifolds

Definition: a smooth real manifold  $M$  is called an almost complex manifold if  $\exists J \in \Gamma(\text{End } TM)$  with  $J^2 = -1$ . Such a  $J$  is called an almost complex structure on  $M$ .

Lemma: (from linear algebra). Let  $J \in \text{End}(\mathbb{R}^m)$ ,  $J^2 = -1$ . Then 0)  $J \in GL(m, \mathbb{R})$ , and 1)  $m = 2n$ , and 3)  $\{ A \in GL(m, \mathbb{R}) : AJA^{-1} = J \} \cong GL(n, \mathbb{C})$ .

Take  $[S] \in GL(2n, \mathbb{R}) /_{GL(n, \mathbb{C})} \mapsto S J_0 S^{-1} = \{ J \in \text{End}(\mathbb{R}^{2n}) : J^2 = -1 \}$

where  $J_0 =$  block diag matrix with blocks  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$

Sketch-proof of Lemma:

$\forall v \neq 0$ ,  $v$  and  $Jv$  are linearly independent. Can get a basis from them of the form  $e_1, J e_1, e_2, J e_2, \dots, e_n, J e_n$ , an even number. Then  $J = J_0$  in this basis.

Corollary: an almost complex structure is equivalent to a  $GL(n, \mathbb{C})$ -structure on  $M$ . Thus every almost complex manifold is even dimensional and has a canonical orientation.

We can extend  $e_1, J e_1, \dots, e_n, J e_n$  to a local frame field around  $p \in M$ . Let  $e_1^*, J e_1^*, \dots, e_n^*, J e_n^*$  the dual coframe field. Then  $\varepsilon = J e_1^* \wedge e_1^* \wedge \dots \wedge J e_n^* \wedge e_n^*$  mean  $J^*$  implicitly.

E.g. if  $M$  is a  $(x, y)$ -manifold with local coords  $(z_j)$ , then  $\varepsilon = \frac{i^n}{2^n} dz_1 \wedge d\bar{z}_1 \wedge \dots \wedge dz_n \wedge d\bar{z}_n$ ,

or in real  $= dx_1 \wedge dy_1 \wedge \dots \wedge dx_n \wedge dy_n$

$$\left( \begin{array}{l} \text{recall } J(dx) = -dy \\ J(dy) = -dx \end{array} \right)$$

$J^*$

Remark:  $(-J)$  is another almost complex structure, giving the same orientation if  $n$  is even ( $\dim_{\mathbb{R}} M = 2n$ )  
opp. orientation if  $n$  is odd

Definition: The torsion of an almost complex structure  $J$  is a tensor  $N_J \in \Gamma(\text{Hom}(\wedge^2 TM, TM))$

$$N_J(X, Y) = 2([JX, JY] - [X, Y] - J[X, Y] - J[JX, Y]) \in \mathfrak{X}(M), \quad X, Y \in \mathfrak{X}(M)$$

acts on real vector fields

If  $N_J = 0$ , then  $J$  is called torsion-free or integrable.

Fact:  $N_J$  is  $C^\infty(M)$ -linear (is an algebraic map) (direct calculation using  $[fX, Y] = f[X, Y] - (Yf)X$ )

So coefficients of  $N_J$  depend on  $J$  +  $J^*$  derivative?

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Remark:  $N_J$  is antisymmetric  $N_J(X, Y) = -N_J(Y, X)$  and  $N(fX, Y) = fN(X, Y) \quad \forall f \in C^\infty(M)$

in local coordinates:  $N_J(\partial_i, \partial_j) = \sum_k N_{ij}^k \partial_k$ , where  $\partial_i := \frac{\partial}{\partial u_i}$ ,  $\{u_i\}$  real local coordinates.

Newlander-Nirenberg Theorem:

An almost complex structure  $J$  on  $M$  arises from an atlas of local complex coords iff  $N_J = 0$  ( $J$  is torsion-free).

Remarks on the proof:

" $\Rightarrow$ " is easy: let  $z_\alpha = x_\alpha + iy_\alpha$  be local complex coordinates. Then consider  $\frac{\partial}{\partial x_\alpha}, \frac{\partial}{\partial y_\alpha}, J \frac{\partial}{\partial x_\alpha}$  and  $J \frac{\partial}{\partial y_\alpha}$  have constant coefficients, and in particular their Lie bracket  $[\cdot, \cdot]$  vanishes. Hence  $N_J = 0$ , as required.

" $\Leftarrow$ " is difficult: can be read in Kobayashi and Nomizu, but quite involved, for smooth, real analytic manifolds.

An almost complex structure  $J$  suffices for defining  $T'^0 M, T^{0,1} M$  and  $\wedge^{p,q}(T^* M)^\mathbb{C}$ . Hence  $\partial, \bar{\partial}$  on  $\Omega^{p,q}$  also make sense on any almost complex manifold.

However, there is a difference between almost complex manifolds and complex manifold.

Proposition: if  $M$  is an almost complex manifold, then

$$d(\Omega^{p,q}(M)) \subseteq \Omega^{p-1,q+2}(M) \oplus \Omega^{p,q+1}(M) \oplus \Omega^{p+1,q}(M) \oplus \Omega^{p+2,q-1}(M)$$

proof: obviously, 
$$\left. \begin{aligned} d\Omega^{0,1} &\subseteq \Omega^{0,2} + \Omega^{1,1} + \Omega^{2,0} \\ d\Omega^{1,0} &\subseteq \Omega^{0,2} + \Omega^{1,1} + \Omega^{2,0} \end{aligned} \right\} (*)$$

an arbitrary  $(p,q)$ -form can be written as  $\sum_{i=1}^N \varepsilon_i^{(i)} \wedge \dots \wedge \varepsilon_{p+q}^{(i)}$  where each  $\varepsilon_j^{(i)} \in \Omega^{1,0}$  or  $\Omega^{0,1}$ . We can apply the product rule and (\*).



Theorem: For  $M$  an almost complex manifold, the following are equivalent:

- (a)  $Z, W \in \Gamma(T^{1,0}(M))$ . Then  $[Z, W] \in \Gamma(T^{1,0}(M))$
- (b)  $Z, W \in \Gamma(T^{0,1}(M))$ . Then  $[Z, W] \in \Gamma(T^{0,1}(M))$
- (c) 
$$\begin{cases} d(\Omega^{1,0}(M)) \subseteq \Omega^{1,1}(M) \oplus \Omega^{2,0}(M) \\ d(\Omega^{0,1}(M)) \subseteq \Omega^{0,2}(M) \oplus \Omega^{1,1}(M) \end{cases}$$
- (d)  $d(\Omega^{p,q}(M)) \subseteq \Omega^{p+1,q}(M) \oplus \Omega^{p,q+1}(M)$
- (e)  $NJ \equiv 0$  ( $J$  is integrable)

proof:

(a)  $\Leftrightarrow$  (b): apply complex conjugation: since Lie bracket is a real operator, it commutes with complex conjugation. That is,  $[\bar{Z}, \bar{W}] = \overline{[Z, W]}$ , and  $Z \in T^{0,1} \Leftrightarrow \bar{Z} \in T^{1,0}$

(a) or (b)  $\Rightarrow$  (c): let  $\omega$  be any 1-form. Then

$$d\omega(Z, W) = Z\omega(W) - W\omega(Z) - \omega([Z, W]) \quad (†)$$

If  $\omega \in \Omega^{1,0}(M)$ , and  $Z, W \in T^{0,1}$ , then  $\omega(Z) = \omega(W) = \omega([Z, W]) = 0$ . Hence RHS of  $†$  vanishes. Hence  $d\omega \equiv 0$  on  $T^{0,1}$ , which says precisely that  $\omega$  has no  $\Omega^{0,2}$  components. So (c) holds. Similarly for the other case (can also use complex conjugation)

(c)  $\Rightarrow$  (a): use  $(†)$ . Suppose  $Z, W \in T^{1,0}$ , and  $\omega \in \Omega^{0,1}$ . Then by assumption,  $d\omega$  is spanned by  $(0,2)$  and  $(1,1)$ -forms, no  $(2,0)$ -form component. So LHS of  $(†) \equiv 0$  (on  $T^{1,0}$ ). We also know  $\omega(W) = \omega(Z) = 0$ ,  $\Rightarrow \omega([Z, W]) = 0$ . But then  $[Z, W]$  cannot have any  $T^{0,1}$  component. So  $[Z, W] \in T^{1,0}$ . Similarly (c)  $\Rightarrow$  (b).

(c)  $\Rightarrow$  (d): Same calculation as in Proposition, i.e. product rule

$$\Rightarrow d(\varepsilon_1 \wedge \dots \wedge \varepsilon_{p+q}) = \sum_K \varepsilon_1 \wedge \dots \wedge (-1)^k d\varepsilon_K \wedge \dots \wedge \varepsilon_{p+q} \quad \text{Come back to this.}$$

(suffices to work locally)

(d)  $\Rightarrow$  (c): (c) is a special case of (d) (trivial)

(a)  $\Leftrightarrow$  (e) : a general  $(1,0)$ -vector field is  $X - iJX$  for some real vector field  $X$ . Define  $J^2 = -I$   
 $Z := [X - iJX, Y - iJY]$ . By linearity, expand:  $Z = -[JX, JY] + [X, JY] + iJJ[X, JY] + iJJ[JX, Y]$   
 Applying  $J$  to both sides and multiplying by  $i$ , direct calculation shows  $2(Z + iJJZ) = -N_J(X, Y) - iJJN_J(X, Y)$   
 Now LHS = 0 iff  $Z$  is of type  $(1,0)$ , and RHS = 0  $\Leftrightarrow$  real and imaginary parts are both 0 (since  $X$  and  $Y$  are real vector fields). Hence RHS = 0 iff  $N_J Z = 0$ .

Can then read off (a)  $\Leftrightarrow$  (e), completing the proof. □

Remarks :

- existence of  $J$  is a topological question (about the endomorphism bundle). This question is largely understood.
- integrability of  $J$  - nonlinear P.D.E. (more difficult question).
- easy special case: real surfaces ( $\dim_{\mathbb{R}} M = 2$ ) By dimension reasons, no  $(0,2)$ -forms,  $\Rightarrow J$  is always integrable. (using statement (c)).

## Submanifolds and Subvarieties

recall:  $Y \subset X$  is a (embedded)  $(C^\infty)$  submanifold of a manifold  $X$  means the inclusion  $L: Y \rightarrow X$  is smooth with  $(dL)_y: T_y Y \rightarrow T_y X$  injective  $\forall y \in Y$ , and  $L$  is a homeo onto its image.

Then (and only then) locally  $Y$  around  $y \in Y$  is the inverse image (level set) of a regular value.

Definition: let  $X$  be a complex  $n$ -manifold,  $Y \subset X$  a smooth submanifold of even dimension  $\dim_{\mathbb{R}} Y = 2k$ . Then we say  $Y$  is a  $k$ -dim. complex submanifold iff  $\forall y \in Y$

$$* \left\{ \begin{array}{l} \exists \text{ complex coordinate chart } \varphi_y: U_y \subseteq X \rightarrow \mathbb{C}^n, y \in U_y, \text{ such that } \varphi_y(U_y \cap Y) = \varphi_y(U_y) \cap \mathbb{C}^k, \text{ where} \\ \mathbb{C}^k \subset \mathbb{C}^n = \{z \in \mathbb{C}^n : z_k = z_{k+1} = \dots = z_n = 0\} \end{array} \right.$$

Remarks:

- Thus  $Y$  is a complex  $k$ -dimensional manifold with holo. atlas  $\{(U_y \cap Y, \varphi_y|)\}_{y \in Y}$ .

$$\bullet \text{ Codim}_{\mathbb{C}} Y/X = \dim_{\mathbb{C}} X - \dim_{\mathbb{C}} Y = n - k.$$

- $*$  is equivalent to:  $\forall y \in Y, \exists$  holo  $F: W_y \subset X \rightarrow \mathbb{C}^{n-k}$  such that  $r_k \left( \frac{\partial F}{\partial w_i} \right) = n - k$  on  $W_y$ , and  $\uparrow J(F)$   
 $W_y$  open  $y \in W_y$  coords  $(w_i)$

$$F^{-1}(0) = Y \cap W_y \quad (\text{Inverse mapping thm in complex variable})$$

- Then  $L: Y \hookrightarrow X$  is a holomorphic map. Equivalently,  $T_y Y \subset T_y X$  is a complex vector subspace.  $\uparrow$  inclusion map commutes with action of  $J$   
 So  $\Leftrightarrow T_y^{1,0} Y \subset T_y^{1,0} X$  (by previous theorem).  

$$\begin{array}{ccc} e & \xrightarrow{\sim} & \langle e - iJe \rangle \\ \uparrow & & \uparrow \\ T_y Y & & T_y^{1,0} Y \end{array}$$

- Recall : if  $X = \mathbb{CP}^n$  and  $Y$  compact, then  $Y$  is a projective manifold.

Definition:  $Y \subset X$  is called an analytic subvariety if  $Y \subset X$  is a closed subset and  $\forall p \in Y \exists$  nbhd  $U_p \subset X$  such that  $U_p \cap Y = f^{-1}(0)$  for some holo  $f: U_p \rightarrow \mathbb{C}^m$



$p$  is a smooth point of  $Y$  if  $\exists$  such  $f$  with  $\text{rank}_{\mathbb{C}} J(f)_p = m$ , i.e.  $(df)_p$  is surjective. Otherwise  $p$  is called a singular point.

Define: Singular locus:  $Y^S = \{ \text{all the singular points in } Y \}$ . If  $Y^S = \emptyset$ , then we say  $Y$  is smooth/nonsingular. By implicit function theorem, every connected component of  $Y^* := Y \setminus Y^S$  is a complex manifold

$Y$  is said to be irreducible if  $Y \neq Y_1 \cup Y_2$  for two proper subvarieties  $Y_1, Y_2 \subsetneq Y$ . We can show (sheet 2),  $Y$  irreducible  $\Rightarrow Y^*$  is connected. Suppose  $Y$  is irreducible. Then  $\text{codim } Y/X := \text{codim } Y^*/X$

Fact:  $Y^S$  is itself a subvariety, and  $\text{codim } Y^S/X > \text{codim } Y/X$ . We can check weaker statements:

- $Y^* \neq \emptyset$  and is dense open in  $Y$
- $Y^S$  is contained in a subvariety of  $X$ . This subvariety does not contain  $Y$ .

If  $\text{codim}_{\mathbb{C}} Y/X = 1$ , then we will call  $Y$  a hypersurface.

## 2. Holomorphic Geometry

### 2.1 Holomorphic Vector bundles

Let  $X$  be a complex manifold.

**Definition:** a holomorphic vector bundle of (complex) rank  $k$  over a base  $X$  is a complex manifold  $E$ , the total space, with a holomorphic submersion  $\pi: E \rightarrow X$  ( $d\pi$  is surjective) onto  $X$  such that  $\forall x \in X$ , the fibre  $\pi^{-1}(x)$  is a  $k$ -dimensional complex vector space, and  $\forall y \in X$ ,  $\exists$  nbhd  $U$  of  $y$  and a biholomorphic  $\phi_U$  called a holomorphic local trivialisation s.t. the following diagram commutes:

$$\begin{array}{ccc} \pi^{-1}(U) & \xrightarrow{\phi_U} & U \times \mathbb{C}^k \\ \pi \downarrow & & \downarrow \text{pr}_1 \\ U & \xrightarrow{=} & U \end{array}$$

We also ask that  $\phi_U|_{E_x = \pi^{-1}(x)}: E_x \rightarrow \mathbb{C}^k$  is a complex linear isomorphism for all  $x \in U$ .

If  $U_\alpha, U_\beta$  are overlapping trivialising nbhds,  $\phi_\alpha, \phi_\beta$  holomorphic local trivialisations, then

$$\phi_\beta \circ \phi_\alpha^{-1}(z, v) = (z, \psi_{\beta\alpha}(z)v)$$

for some  $\psi_{\alpha\beta}: U_\alpha \cap U_\beta \rightarrow GL(k, \mathbb{C})$  holomorphic. It also makes sense to speak of holomorphic local (/global) sections of  $E$ :  $s: U \subset X \rightarrow E$  holomorphic and s.t.  $\pi \circ s = \text{id}_U$ .

#### Properties:

(sheet 2) if  $E$  and  $\tilde{E}$  are two holomorphic v.b., then  $E \oplus \tilde{E}$ ,  $E \otimes \tilde{E}$ ,  $\wedge^r E$ ,  $\text{End}(E)$  are all complex v.bs.   
  $E \otimes E^*, E^*$

$$\det E = \wedge^{rk E} E$$

Remark:  $\{U_\alpha, \psi_{\beta\alpha}: \alpha, \beta \in A\}$  determines the holo. v.b.  $E$  up to isomorphism, i.e. two holo v.b.  $E$  and  $\tilde{E}$  are isomorphic iff  $\exists$  biholo  $F: E \rightarrow \tilde{E}$  such that

$$\begin{array}{ccc} E & \xrightarrow{F} & \tilde{E} \\ \pi_E \searrow & & \swarrow \pi_{\tilde{E}} \\ & X & \end{array}$$

is a commutative diagram, and  $F|_{E_x}$  is a  $\mathbb{C}$ -linear isomorphism.

Fix notation:  $X$  complex manifold,  $E$  holo. v.b. over  $X$ .

The pullback of  $E$  via holomorphic map  $f: Y \rightarrow X$  is a vector bundle  $f^*E$  over  $Y$  so that  $\exists$   $\tilde{F}$  holomorphic map with commutative diagram

$$\begin{array}{ccc} f^*E & \xrightarrow{\tilde{F}} & E \\ \pi_Y \downarrow & & \downarrow \pi_X \\ Y & \xrightarrow{f} & X \end{array}$$

The map  $\tilde{f}$  is given in each holo. trivialisation over  $U \subset X$  say by

$$(b, v) \in f^{-1}(u) \times \mathbb{C}^k \rightarrow (f(b), v) \in u \times \mathbb{C}^k$$

The transition functions of  $f^*E$  are  $\psi_{\beta\alpha} \circ f$  for all transition functions  $\psi_{\beta\alpha}$  of  $E$ . These are holo since  $\psi_{\beta\alpha}, f$  are holo & they satisfy the cocycle conditions. Thus  $f^*E$  is a well-defined holo v.b.

Examples:

1)  $T^{1,0}X, (T^*X)^{1,0}, \Lambda^p(T^*X)^{1,0}, K_X$  are holo v.b., transition functions are compositions of complex Jacobians for local coords with holo functions (in fact algebraic).

2)  $Y \subset X$  Complex submanifold, then inclusion  $i: Y \hookrightarrow X$  is holo, and  $i^*E \rightarrow Y$  is a holo vector bundle - the restriction  $E|_Y$

Shall mostly consider holomorphic line bundles (rank  $\mathbb{C} = 1$ ).

Proposition / Definition: holomorphic line bundles over  $X$  form an abelian group. The operation is  $\otimes$ , and the group is called the Picard group, denoted  $\text{Pic}(X)$ .

proof: let  $L$  be a holo line bundle with transition functions  $f_{\beta\alpha}$ , and  $\tilde{L}$  with  $\tilde{f}_{\beta\alpha}$ . Then  $L \otimes \tilde{L}$  has the transition functions  $f_{\beta\alpha} \tilde{f}_{\beta\alpha}$  (pointwise mult.) Commutativity since they map  $\rightarrow \text{GL}(1, \mathbb{C}) = \mathbb{C}^*$ . The inverse of  $L$  is  $L^*$ : noting  $z \in X$ ,  $f$  trans. funct, then  $f(z): V \rightarrow W$  is a complex linear map. Consider the dual map  $f(z)^*: W^* \rightarrow V^*$  given by  $f(z)^T$  wrt. the dual bases. If  $V$  and  $W$  have same dimension, then this is a complex isomorphism. Take  $(f(z)^T)^{-1}: V^* \rightarrow W^*$ . Rank  $(f(z)^T) = 1 \Rightarrow f(z) = f(z)^T$ , so for complex line bundles  $(f(z)^T)^{-1} = (f(z))^{-1}$ . Then transition functions of  $L^*$  are exactly  $f_{\beta\alpha}^{-1}$ . Identical element in  $X \times \mathbb{C}$ , the trivial product  $b$ . □

Corollary: if  $f: Y \rightarrow X$  holo, then  $f^*: \text{Pic}(X) \rightarrow \text{Pic}(Y)$  is a group homomorphism.

Example: the tautological line bundle  $\mathcal{O}(-1)$  over  $\mathbb{CP}^n$ . Start with

$$(z_0, \dots, z_n) \in \mathbb{C}^{n+1} \setminus \{0\} \xrightarrow{\pi} [z_0: \dots: z_n] \in \mathbb{CP}^n.$$

We want to exhibit  $\mathbb{C}^{n+1} \setminus \{0\}$  as a line bundle  $E = \mathcal{O}(-1)$ , minus the zero section. It suffices for working out the transition function. Start with standard coord patches

$$U_\alpha := \{z_\alpha \neq 0\} \subset \mathbb{CP}^n, \quad \alpha = 0, \dots, n.$$

Define then

$$\phi_\alpha^{-1}([z_0: \dots: z_n], w) = \left( \frac{z_0}{z_\alpha} w, \dots, \frac{z_n}{z_\alpha} w \right) = \frac{w}{z_\alpha} \tilde{z} \quad (\text{assume } w \neq 0)$$

and

$$\phi_\beta([z_0: \dots: z_n]) = \left( \left[ \frac{z_0}{z_\beta} : \dots : \frac{z_n}{z_\beta} \right], \mathcal{E}_\beta \right), \quad \mathcal{E}_\beta = \left( \frac{z_\beta}{z_\alpha} \right) w$$

transition function.

So  $\phi_\beta \circ \phi_\alpha^{-1}(\tilde{z}, w) = (\tilde{z}, \psi_{\beta\alpha}(\tilde{z}) w)$  with  $\psi_{\beta\alpha}(\tilde{z}) = \frac{z_\beta}{z_\alpha}$  defined on  $U_\alpha \cap U_\beta$ .

Thus  $\mathcal{O}(-1)$  is well-defined.

Define  $\mathcal{O}(1) := \mathcal{O}(-1)^*$ , the hyperplane bundle. Can further define

$$\mathcal{O}(n) := \underbrace{\mathcal{O}(1) \otimes \dots \otimes \mathcal{O}(1)}_{n \text{ times}} = \mathcal{O}(n-1) \otimes \mathcal{O}(1)$$

Also  $\mathcal{O}(-n) := \mathcal{O}(-n+1) \otimes \mathcal{O}(-1)$  and  $\mathcal{O}(0) :=$  trivial product.

Thus  $\mathbb{Z} \rightarrow \text{Pic}(\mathbb{CP}^n)$  is a homomorphism. In fact,  $\text{Pic}(\mathbb{CP}^n) \cong \mathbb{Z}$ .

## Divisors

Need to borrow some facts from commutative algebra.

### Local Rings

Consider  $p \in X$ , and define  $\mathcal{O}_{X,p} := \{ \text{holo functions } f \text{ defined on some open region } U_f \ni p \}$ , the local ring at  $p$ . We identify  $f$  and  $\tilde{f}$  if  $f|_{U_f \cap U_{\tilde{f}}} = \tilde{f}|_{U_f \cap U_{\tilde{f}}}$ . A function  $f \in \mathcal{O}_{X,p}$  is called an element.  $f$  is an invertible element at  $p \Leftrightarrow f(p) \neq 0$ .  $f$  is an irreducible element at  $p \Leftrightarrow$  if  $f = uv$ , then  $u$  or  $v$  is invertible (or both).  $f$  divides  $g$  if  $f = ug$  for some element  $u \in \mathcal{O}_{X,p}$ . Finally,  $f$  and  $g$  are coprime  $\Leftrightarrow \forall u$  dividing both  $f$  and  $g$ ,  $u$  is invertible.

(Weak) Nullstellensatz: let  $f$  be an irreducible element at  $0 \in \mathbb{C}^n$ , and let  $h$  be an element vanishing on  $f^{-1}(0) \cap (\text{domain of } h)$ . Then  $f$  divides  $h$ .

Let  $f: D \subset \mathbb{C}^n \rightarrow \mathbb{C}$  holo,  $0 \in D$ , and  $h|_{f^{-1}(0)} \equiv 0$  holo. Then  $f$  divides  $h$  in  $\mathcal{O}_{\mathbb{C}^n,0}$ . That is,  $h = uf$ , where  $u \in \mathcal{O}_{\mathbb{C}^n,0}$ . We'll write  $f|h$  in  $\mathcal{O}_{\mathbb{C}^n,0}$  for " $f$  divides  $h$ ".

Basic example:  $n=1$ , then we have an isolated zero  $f(0) = 0$ . Irreducibility  $\Rightarrow$  zero is simple (order 1). Assume  $h(0) = 0$ . Then write  $f(z) = z f_1(z)$ ,  $f_1(0) \neq 0$ . Then  $h(z) = z^d h_1(z)$ ,  $h_1(0) \neq 0$ , and  $d \geq 1$ . Therefore we can write  $h(z) = z^{d-1} \underbrace{\frac{h_1(z)}{f_1(z)}}_{\text{holo}} f(z)$

We shall also need:

Theorem (U.F.D):  $\mathcal{O}_{\mathbb{C}^n,0}$  is a unique factorization domain. I.e.  $\forall f \neq 0$ , we have  $f = f_1 \dots f_m$  ( $m \geq 1$ ) where each  $f_j$  is irreducible, and unique up to an invertible element.

Proposition: Let  $f, g \in \mathcal{O}_{\mathbb{C}^n,0}$ . If  $f$  and  $g$  are coprime at 0, then  $\exists \varepsilon > 0$  such that  $f$  and  $g$  are coprime in  $\mathcal{O}_{\mathbb{C}^n,\varepsilon}$  whenever  $|z| < \varepsilon$ .

These are useful for studying hypersurfaces  $Y \subset X$  (and line bundles)

Recall if  $p \in Y^*$  (= smooth locus) then  $\exists$  holomorphic  $f: U_p \subset X \rightarrow \mathbb{C}$  such that  $Y \cap U_p = f^{-1}(0)$  and  $(df)_p \neq 0$ .

Then  $\exists$  local complex coordinates  $z_1, \dots, z_n$  around  $p$  s.t.  $f(z) = z_1$  (extend and use Inverse function thm)  
 $\therefore \forall$  holo  $g: U_p \rightarrow \mathbb{C}$  s.t.  $g|_{Y \cap U_p} \equiv 0$ , then  $g = f u$  (i.e.  $f|g$ )

- consider power series at  $p$ :

$g$  is a power series in  $z_1, \dots, z_n$ . But the function vanishes whenever  $z_1 = 0$ . So any terms in power series will have a  $z_1$  factor. This can be shown by induction on dimension

Thus we have an irreducible element  $f \in \mathcal{O}_{X,p}$  s.t.  $\forall g$  vanishing on  $Y$  near  $p$ , we have  $f|g$ . Such an  $f$  is unique up to an invertible element. (†)

Definition: a subvariety  $Y \subset X$  is (locally) irreducible at  $p \in Y$  if  $\exists$  small polydisc around  $p$  s.t.  $Y \cap U$  is irreducible.

Suppose  $p \in V^3$ , and  $Y$  is irreducible at  $p$  ( $Y$  is a hypersurface).

Claim:  $\exists U_p \subset X$  open and  $f: U_p \rightarrow \mathbb{C}$  hol. such that  $Y \cap U_p = f^{-1}(0)$ .

wanna ask Vanessa about this

Suppose the claim is false. We know  $Y \cap U_p \subseteq \{q: \begin{matrix} f_1(q)=0 \\ f_2(q)=0 \end{matrix}; f_j: U_p \rightarrow \mathbb{C} \text{ hol.}\}$ . Then we can assume  $f_1, f_2$  are irreducible at  $p$ , so they are coprime at  $p \Rightarrow$  coprime at any  $q$  near  $p$  by prop, in particular at some  $q \in Y^*$ , since  $Y^*$  is dense and open in  $Y$ . Since  $q$  is smooth,  $f_1 = f_0 u$  and  $f_2 = f_0 v$  in  $\mathcal{O}_{X,q}$  for some  $f_0 \in \mathcal{O}_{X,q}$  irreducible by Statement (†). This is a contradiction, since then  $f_1$  and  $f_2$  are not coprime at  $q$ .



If  $Y$  is not irreducible, then apply claim to each component and multiply out each of the functions

We obtain the following:

Definition / Proposition: Let  $Y \subset X$  be a hypersurface, and  $p \in Y$ . Then  $\exists f \in \mathcal{O}_{X,p}$  such that  $f|_{Y \cap U_p} \equiv 0$  and this  $f$  is unique up to invertible factors: for any other such  $g$ ,  $f|g$ . We call  $f$  a local defining function for  $Y$  at  $p$ .

For  $p \notin Y$ , formally set  $f$  to be any invertible element at  $p$ .

Lemma: a hypersurface  $Y$  is irreducible at  $p \Leftrightarrow$  local defining function at  $p$  is irreducible in  $\mathcal{O}_{X,p}$ .

proof: ( $\Leftarrow$ ) Suppose  $f$  is irreducible, and for contradiction's sake  $Y$  is not irred. at  $p$ . If  $Y \cap U_p = Y_1 \cup Y_2$  nontrivial, then  $\exists$  local defining functions  $f_j$  for  $Y_j$  at  $p$ . By Nullstellensatz,  $\Rightarrow f$  (vanishes on  $Y \cap U_p$ ) must have  $f|(f_1 f_2)$ . But  $f$  is irreducible, so  $f|f_1$  or  $f|f_2$  by UFD. Suppose  $f|f_1$ . Then  $Y_1 \supseteq Y$  or  $Y_2 \supseteq Y$ , which contradicts the fact that  $Y_j \neq Y \cap U_p$ .  $\zeta$

( $\Rightarrow$ ) if  $f$  is a local def. function for  $Y$ , and  $f = f_1 f_2$ ,  $f_1, f_2$  coprime, then  $Y \cap U_p = \{f_1=0\} \cup \{f_2=0\} =: Y_1 \cup Y_2$ , where  $Y_j \neq Y \cap U_p$ .



In general, using local defining functions and UFD, we obtain  $\forall p \in X$ ,  $\exists$  open nhood  $U_p \subset X$  s.t.  $Y \cap U_p = Y_{p,1} \cup \dots \cup Y_{p,m}$  with each  $Y_{p,j}$  irreducible.

If  $X$  is compact, can pass to a finite cover of  $X$  by  $U_p$ 's, and can patch these  $Y_{p,j}$ 's and obtain a global decomposition

$$Y = Y_1 \cup \dots \cup Y_N \quad (*)$$

where each  $Y_j$  is a globally irreducible analytic hypersurface.

Definition: A divisor on a complex manifold  $X$  is a locally finite formal sum  $D = \sum_i a_i Y_i$

divisor

where each  $Y_i$  is an irreducible hypersurface in  $X$ , and  $a_i \in \mathbb{Z}$ .

locally finite means  $\forall p \in X$ ,  $\exists$  open nhood  $U_p$  such that  $D$  meets  $U_p$  in only finitely many  $Y_i$ 's.

The set of divisors  $\text{Div}(X)$  forms a group under addition.

Let  $X$  be compact. Then  $\exists$  finite open cover by  $U_\alpha$ 's, say  $\{U_\alpha\}$ , and for any  $\alpha \exists$  well-defined holomorphic local defining functions  $f_{j,\alpha} : U_\alpha \rightarrow \mathbb{C}$  for  $j$  (recall (\*)). Then we can assign to any divisor  $D \in \text{Div}(X)$  the data  $\{(U_\alpha, f_\alpha)\}$  where  $f_\alpha := \prod_{i=1}^n f_{\alpha,i}^{a_i} : U_\alpha \rightarrow \mathbb{C}$ , called the "locally defining function" for  $D$  at  $p \in U_\alpha$ .

We define  $D \in \text{Div}(X)$  to be **effective** when  $a_i \geq 0 \forall i$ . Then  $f_\alpha$  is holomorphic on  $U_\alpha$ .

**Definition:**  $f$  is called a meromorphic function on  $X$  if locally,  $f$  is a quotient of two holomorphic functions. If  $X = \bigcup U_i$ ,  $U_i$  open, then  $\exists$  coprime holo  $g_i, h_i : U_i \rightarrow \mathbb{C}$  with  $h_i \neq 0$  such that  $f|_{U_i} = \frac{g_i}{h_i}$ , and  $g_i h_j = g_j h_i$  on any intersection  $U_i \cap U_j$ .

Basic example of a meromorphic function (in  $\dim_{\mathbb{C}} > 1$ ).

$X = \mathbb{C}^n$ ,  $g(z) = z_\alpha$ ,  $h(z) = z_\beta$ ,  $\alpha \neq \beta$ , then  $\frac{g(z)}{h(z)}$  is undefined on  $\{z_\alpha = z_\beta = 0\}$ , a  $\text{codim}_{\mathbb{C}} = 2$  subspace.

(unlike  $\dim_{\mathbb{C}} = 1$  case, where meromorphic functions only have poles, so  $\Leftrightarrow$  holo maps to  $\mathbb{CP}^1 \cong \mathbb{C} \cup \{\infty\}$ ).

Let  $Y \subset X$  be an irreducible analytic hypersurface,  $p \in Y$ , and  $f$  a local defining function at  $p$ . If  $g$  is a holo function around  $p$ ,  $g \neq 0$ ,  $g = g_1 \dots g_e$  irreducible factors ( $\exists$  by UFD), then define

**Definition:**  $\text{ord}_{Y,p}(g) := \max \{a \in \mathbb{Z} : g = f^a h \text{ for some } h \text{ holo at } p\}$   
i.e.  $f^a \mid g$  in  $\mathcal{O}_{\mathbb{C}^n,p}$ .

This concept is independent of  $f$  since  $f$  is unique up to invertible factors. and so is  $g$ .

Recall  $Y^* = Y \setminus Y^{\text{sing}}$  is connected, open and dense in  $Y$ .

**Claim:**  $\text{ord}_{Y,p}(g)$  is locally constant for  $p \in Y^*$ , and thus independent of  $p \in Y^*$ . Hence  $\text{ord}_{Y,p}(g)$  is well-defined independent of  $p$ , and so we can drop  $p$  from the notation and write  $\text{ord}_Y(g)$ .

**proof:** wlog  $p = 0 \in \mathbb{C}^n = \mathbb{C}_w \times \mathbb{C}_z^{n-1}$ , and assume  $X$  is a polydisc around 0, and  $Y = \{w = 0\}$ . Then  $\text{ord}_{Y,0}(g) = a \Leftrightarrow g(w, z) = w^a h(w, z)$ ,  $w \nmid h$  in  $\mathcal{O}_{\mathbb{C}^n,0}$ .  
 $\Rightarrow h = w h_0 + h_1$ , where  $h_0, h_1$  are holo near 0 and  $\frac{\partial h_1}{\partial w}(0,0) \neq 0$ , and  $h_1(0,0) \neq 0$  represented by nontrivial power series  $h_1(0, z) \neq 0$  for small  $|z|$ . Re-expand  $h$  at  $(0, z)$ , still nontriv. power series.

Hence  $\Rightarrow w \nmid h$  at  $(0, z)$  if  $|z|$  is small. □

It is easy to see that  $\text{ord}_Y(gh) = \text{ord}_Y(g) + \text{ord}_Y(h)$  by UFD and noting  $f$  is irreducible.

If  $F \neq 0$  is meromorphic, then locally  $F = \frac{g}{h}$ ,  $g, h$  holo.

**Definition:**  $\text{ord}_Y(F) := \text{ord}_Y(g) - \text{ord}_Y(h)$

if  $d = \text{ord}_Y F > 0$ , then called a zero order  $d$  along  $Y$

if  $d = \text{ord}_Y F < 0$ , then called a pole order  $(-d)$  along  $Y$ .

The divisor of a meromorphic function  $F \neq 0$  on  $X$  is

$$(F) := \sum_{\substack{Y \text{ irred} \\ \text{hypersurf} \\ \text{in } X}} \text{ord}_Y(F) \cdot Y, \quad (*)$$

which is well-defined by u.f.d. Any such divisor is called a principal divisor.

Remarks:

- $D \sim D'$  "linearly equivalent" iff  $D - D' = (F)$  for some meromorphic  $F$ .
- The sum  $(*)$  is finite when  $X$  is compact
- $(F) \geq 0$  (effective) iff  $F$  is holomorphic
- $(FG) = (F) + (G)$ ,  $(\frac{F}{G}) = (F) - (G)$ , assume  $g \neq 0$

N.B. if  $\dim_{\mathbb{C}} X = 1$ ,  $X$  compact, i.e. a Riemann surface, then  $\text{Div}(X) = \left\{ \sum_i n_i P_i, n_i \in \mathbb{Z}, P_i \in X \right\}$ .

When  $\dim_{\mathbb{C}} X > 1$ , there need not be any divisors on  $X$  in general. But divisors always exist when  $X$  is projective.

Suppose  $F: Z \rightarrow X$  is a holo map of manifolds. Assume  $Z$  and  $X$  are compact and connected. Let  $D \in \text{Div}(X)$ ,  $D = \sum_i a_i Y_i$ , assume  $f(z) \notin Y_i \forall i$  with  $a_i \neq 0$ . Then  $F^*D \in \text{Div}(Z)$  is well defined.

Recall  $X = \bigcup_{\alpha} U_{\alpha} \forall i \forall \alpha$ ,  $f_{\alpha i}: U_i \rightarrow \mathbb{C}$  where  $f_{\alpha i}$  is a local defining function for  $Y_i$ .

The "data" of  $D \Leftrightarrow \{(U_{\alpha}, f_{\alpha})\}$ ;  $f_{\alpha} = \prod_i (f_{\alpha i})^{a_i}$  for  $D$  is meromorphic.  
"Cartier divisor"

Then  $F^*D$  corresponds to  $\{(F^{-1}(U_{\alpha}), f_{\alpha} \circ F)\}$

N.B. when  $D = Y$  is an irreducible hypersurface in  $X$ , the  $F^*D$  need not be irreducible and may have "multiplicities"

Given  $D; \{(U_{\alpha}, f_{\alpha})\}$  define  $\psi_{\beta\alpha} = \frac{f_{\beta}}{f_{\alpha}}: U_{\alpha} \cap U_{\beta} \rightarrow \mathbb{C}$ , quotient is an invertible factor and so  $\psi_{\beta\alpha}$  is holo, and moreover non-zero on  $U_{\alpha} \cap U_{\beta}$ .

Clearly  $\psi_{\alpha\beta} \psi_{\beta\gamma} \psi_{\gamma\alpha} = 1$  on  $U_{\alpha} \cap U_{\beta} \cap U_{\gamma}$ , an instance of the cocycle condition.

$\Rightarrow$  determines a holomorphic line bundle over  $X$ , denoted by  $[D] \in \text{Pic}(X)$ , called an associated line bundle to  $D$ ,  $D \in \text{Div}(X)$ .

Remark:  $[D]$  is well defined: ambiguity  $\tilde{f}_{\alpha} = h_{\alpha} f_{\alpha}$  for some invertible  $h$  holo (never zero). So  $\tilde{\psi}_{\beta\alpha} = \psi_{\beta\alpha} \frac{h_{\beta}}{h_{\alpha}}$ ,  $[\tilde{D}] = [D] \otimes L \neq [D]$ , Since  $L$  has a never zero holo section  $\Rightarrow L$  is trivial

Indeed we define  $h: X \rightarrow \mathbb{C}$ , holo never zero,  $h|_{U_{\alpha}} = h_{\alpha}: U_{\alpha} \rightarrow \mathbb{C}$ ,  $h_{\beta} = \psi_{\beta\alpha} h_{\alpha}: U_{\beta} \rightarrow \mathbb{C}$ ,

• If  $D = (f)$ , then  $f_{\alpha} = f|_{U_{\alpha}} = \prod \frac{g_{\alpha i}}{h_{\alpha i}}$ ,  $\psi_{\beta\alpha} = 1$  thus  $[D]$  is holomorphically trivial

• If  $[D]$  is holomorphically trivial, then  $[D]$  has a never-zero holo section  $s$ . Then over  $U_{\alpha}$ ,  $s$  is represented by  $s_{\alpha}: U_{\alpha} \rightarrow \mathbb{C} \setminus \{0\}$ , with  $s_{\beta} = \psi_{\beta\alpha} s_{\alpha}$  on  $U_{\alpha} \cap U_{\beta}$ , so  $\frac{s_{\alpha}}{s_{\beta}} = \psi_{\alpha\beta} \stackrel{\text{defn}}{=} \frac{f_{\alpha}}{f_{\beta}}$  by defn of  $[D]$ .

Consider then that  $\frac{f_{\alpha}}{s_{\alpha}} = \frac{f_{\beta}}{s_{\beta}}$ , which patch together to give a well defined (global)mero function  $f$  on all of  $X$ , and hence  $D = (f)$

I.e.  $D$  is a principal divisor iff it's associated line bundle  $[D]$  is trivial. Also,  $[D] = [\tilde{D}]$  in  $\text{Pic}(X)$  iff  $D \sim \tilde{D}$  (linearly equivalent  $\sim :=$  difference is a principal divisor)

- Consider  $D + \tilde{D}$  has local defining functions  $f_\alpha, \tilde{f}_\alpha \begin{pmatrix} f_\alpha & \text{for } D \\ \tilde{f}_\alpha & \text{for } \tilde{D} \end{pmatrix}$ . Hence  $[D + \tilde{D}] = [D] \oplus [\tilde{D}]$ . We have thus proved:

Proposition:  $D \in \text{Div}(X) \rightarrow [D] \in \text{Pic}(X)$  is a group homomorphism. The kernel is the principal divisors. (†)

- if  $F: Z \rightarrow X$  is a holo map of manifolds and  $F^*D \in \text{Div}(Z)$  is well defined, then  $F^*[D] = [F^*D]$  by considering (pullbacks of) the local defining functions and how they give rise to transition functions.

Recall: a section of holo line bundle  $L \rightarrow X$  is holo if it is expressed by holo functions in each holo. local trivialisation.

We can similarly define meromorphic sections of  $L$  (i.e. loc. expressed as a meromorphic function in each holo local trivialisation).

Basic properties:

- if  $s_0 \neq 0$  and  $s$  are two meromorphic sections of  $L \Rightarrow s = f s_0$  for some mero function  $f$
- conversely, if  $f$  is a mero section and  $f$  mero function on  $X$ , then  $f s_0$  is a mero section.

Hence by choosing  $s_0 \neq 0$ , obtain a linear isomorphism  $f \mapsto f s_0$  between

$$\{\text{mero sections on } U_0 \subseteq X\} \leftrightarrow \{\text{mero functions on } U_0\}$$

- let  $s \neq 0$  a mero section.  $s_\alpha := s|_{U_\alpha}$ , where  $U_\alpha$  is a trivialising nbhd for  $L$ . Then  $\frac{s_\alpha}{s_\beta} = \psi_{\alpha\beta}$ , which is holo and never zero function
- $\Rightarrow \forall$  irreducible hypersurfaces  $Y \subset X$ , then  $\text{ord}_Y(s_\alpha) = \text{ord}_Y(s_\beta)$  on  $U_\alpha \cap U_\beta$ . Hence globally,  $\text{ord}_Y(s)$  is well-defined.

Therefore  $(s) \in \text{Div}(X)$  is well defined as  $(s) := \sum_{\text{irred } Y} \text{ord}_Y(s) \cdot Y$ , which generalizes divisors to meromorphic functions.

- $(s) \geq 0$  means  $s$  is a holo section

- $D$  corresponds to  $\left\{ \overset{\text{carrier divisor}}{(U_\alpha, f_\alpha)} \right\}$ ,  $f_\beta = \psi_{\beta\alpha} f_\alpha$  by definition of  $[D]$ . We can then infer that  $\exists$  a mero section of  $[D]$  given by imposing  $s|_{U_\alpha} = f_\alpha$ , and so  $(s) = D$  (seen by reversing above argument). In particular,  $[(s)] = [D]$  in  $\text{Pic}(X)$ .

Furthermore we obtain  $\forall$  mero sections  $s$  of  $L$ ,  $L = [(s)]$ . Conversely, given  $L \rightarrow X$  a holo line bundle

$$(1) \{D \in \text{Div}(X) : [D] = L\} \cong \{\text{nonzero mero sections of } L\} / \mathbb{C}^*$$

(up to holo isomorphism)

(multiplying by nonzero constant gives same divisor)

The image of map in Proposition (†)  $D \in \text{Div } X \rightarrow [D] \in \text{Pic}(X)$  is the subgroup of  $\text{Pic}(X)$  of line bundles admitting nontrivial mero sections.

$$(2) \mathcal{L}(D) = \{\text{mero functions on } X : D + (f) \geq 0\} \cup \{0\} \text{ is a vector space } \cong \{\text{v.s. of all holo sections of } [D]\}.$$

Fact:  $\dim(\mathcal{L}(D)) < \infty$  when  $X$  is compact.

Remark:  $\exists$  complex manifold  $X$  ( $\dim X \geq 2$ ) without divisors but still with holo bundles.



# The First Chern class

Let  $L \rightarrow X$  be any smooth complex line bundle over complex manifold (assume  $X$  is compact). Let  $d_A$  be a covariant derivative (corresponding to a connection  $A$ ). Recall that  $d_A: \Gamma(L) \rightarrow \Gamma(T^*X \otimes L) =: \Omega_X^1(L)$ . More generally,  $d_A: \Omega_X^r(L) \rightarrow \Omega_X^{r+1}(L)$ . Locally,  $d_A s_\alpha = ds_\alpha + A_\alpha s_\alpha$  in a trivialisation over  $U_\alpha \subset X$ , with  $A_\alpha$  are the local differential forms expressing  $A$ ,  $A_\alpha \in \Omega^1(U_\alpha)$  ( $s_\alpha = s|_{U_\alpha}$ ).

There is a transformation law:  $A_\beta = A_\alpha + \psi_{\beta\alpha} d\psi_{\beta\alpha}^{-1}$  on  $U_\alpha \cap U_\beta$  for  $\psi_{\beta\alpha}$  smooth. (\*)

Recall curvature  $d_A d_A s = F(A)s$ , where  $F(A) \in \Omega^2_X(\text{End}(L)) \cong \Omega^2(X)$   
 $\uparrow$   
 $L$  rank 1 so  $\text{End}(L)$  is mult by Complex #

So locally  $F(A)|_{U_\alpha} = dA_\alpha$  ( $[A, A] = 0$  in this case)

Then  $dF(A) = 0$  (closed is local condition). Curvature is exact locally (true by Poincaré lemma), but not exact globally necessarily due to (\*) (need not be exact)

Any other connection on  $L$  is  $A + a$  where  $a \in \Omega^1(X)$ . So  $F(A + a) = F(A) + da$ . Thus  $[F(A)] \in H^2(X; \mathbb{C}) \cong H^2_{\text{dR}}(X) \otimes \mathbb{C}$  is well defined independent of auxiliary choice of  $A$ . It depends only on  $L$ .

We can choose a Hermitian inner product  $\langle \cdot, \cdot \rangle$  on the fibres of  $L$ . Suppose a connection  $A$  is unitary:

$$d\langle s_1, s_2 \rangle = \langle d_A s_1, s_2 \rangle + \langle s_1, d_A s_2 \rangle \quad \forall s_1, s_2 \in \Gamma(L)$$

Then in a unitary local trivialisation,  $A_\alpha$  are skew-Hermitian.  $\Rightarrow A_\alpha$  is a scalar (rk  $L = 1$ ) so  $A_\alpha$  is pure imaginary.

(inner product locally is represented by identity matrix)

Hence  $\frac{i F(A)}{2\pi}$  is a real form, so  $\left[ \frac{i F(A)}{2\pi} \right] \in H^2_{\text{dR}}(X)$ , denoted  $c_1(L)$ , defines the 1<sup>st</sup> Chern class of  $L$ .

Proposition:  $c_1(L \otimes \tilde{L}) = c_1(L) + c_1(\tilde{L})$

in particular,  $c_1(L^\vee) = -c_1(L)$  ( $\vee$  and  $*$  denotes dual)

proof: Consider sections  $s \in \Gamma(L)$ ,  $\tilde{s} \in \Gamma(\tilde{L})$ . Then  $s \otimes \tilde{s}$  is represented over each triv. nbhd  $U_\alpha$  (for both  $L$  and  $\tilde{L}$ ) by  $s_\alpha \cdot \tilde{s}_\alpha$  (locally). Since transitions are also multiplied for the tensor bundle,  $s_\beta \otimes \tilde{s}_\beta \in \Gamma(L \otimes \tilde{L})$  is well-defined.

Let  $A, \tilde{A}$  be connections respectively on  $L, \tilde{L}$ . We can define  $d_{A \otimes \tilde{A}}(s \otimes \tilde{s}) := (ds + A s) \otimes \tilde{s} + s \otimes (d\tilde{s} + \tilde{A} \tilde{s})$  (defining  $A \otimes \tilde{A}$  by it's covariant derivative)  
 $\text{locally } d_{A \otimes \tilde{A}}(s \otimes \tilde{s}) = d_A s \otimes \tilde{s} + s \otimes d_{\tilde{A}} \tilde{s}$

In a trivialisation,  $= d(s_\alpha \tilde{s}_\alpha) + (A_\alpha + \tilde{A}_\alpha) \cdot s_\alpha \tilde{s}_\alpha$

Then  $d_{A \otimes \tilde{A}}(d_{A \otimes \tilde{A}}(s \otimes \tilde{s})) = (F(A) + F(\tilde{A})) \cdot (s \otimes \tilde{s})$   
 $\text{locally } = d(A_\alpha + \tilde{A}_\alpha) \cdot s_\alpha \tilde{s}_\alpha$

Thus on  $L \otimes \tilde{L}$  we obtain  $\left[ \frac{i}{2\pi} (F(A) + \tilde{F}(\tilde{A})) \right]$  i.e.  $c_1(L \otimes \tilde{L}) = c_1(L) + c_1(\tilde{L})$ .

For the last part, note that the trivial line bundle  $L^\vee \otimes L$  admits a global trivialisation, so has a 1-form representing  $A$  defined on all of  $X$ . Therefore  $F(A)$  is exact  $\Rightarrow$  dR class is trivial. Combining this with the previous result, we get  $c_1(L^\vee) = -c_1(L)$

Proposition (Chern connection for special case of line bundles)

Suppose  $L$  is a holo line bundle, with Hermitian inner product on fibres. Then  $\exists!$  Connection  $A$  on  $L$  such that

- (i)  $A$  is unitary
- (ii) in any holo trivialisation of  $L$  over say  $U_\alpha \subseteq X$ ,  $A_\alpha \in \Omega^{1,0}(U_\alpha)$ .

Proof: wlog  $U_\alpha$  is also a coordinate nbhd. Consider a local holo section  $e: U_\alpha \rightarrow \mathbb{C}$ ,  $e(z) \equiv 1$ , where  $z$  is the coordinates,  $z \in \mathbb{C}^n$ . The hermitian product  $h_\alpha(z) = |e(z)|^2 = \langle e(z), e(z) \rangle$  (We'll drop the  $\alpha$  from  $h_\alpha, U_\alpha, A_\alpha$  for ease of notation). Then any section over  $U$  is  $\lambda e$  for  $\lambda: U \rightarrow \mathbb{C}$ .

$$\begin{aligned} \text{(i) we require } d|s|^2 &= \langle d_A s, s \rangle + \langle s, d_A s \rangle \\ &= \langle (d\lambda + A\lambda)e, \lambda e \rangle + \langle \lambda e, (d\lambda + A\lambda)e \rangle. \quad (\text{over each } U) \\ &= h \bar{\lambda} d\lambda + h \lambda d\bar{\lambda} + h |\lambda|^2 (A + \bar{A}). \end{aligned}$$

Also have  $d|s|^2 = h \lambda d\bar{\lambda} + h \bar{\lambda} d\lambda + |\lambda|^2 dh$  by product rule for exterior derivative. Hence we must have that  $A + \bar{A} = \frac{dh}{h}$ .  $h$  is an inner product so nonvanishing function.

(ii) requires  $A$  a  $(1,0)$ -form and  $\bar{A}$  a  $(0,1)$ -form.

Then for both of these, we need  $A^{1,0} = \frac{\partial h}{h} = \partial \log h$  on  $U$ .

For any other holo local trivialisation over  $U_\beta$  say, s.t.  $U_\beta \cap U_\alpha \neq \emptyset$ ,  $\bar{\partial} \psi_{\alpha\beta} = 0$  (holo). So  $d\psi_{\alpha\beta} = \partial \psi_{\alpha\beta}$ , and  $\partial \bar{\psi}_{\alpha\beta} = 0$

Then  $h_\beta = \psi_{\alpha\beta} \bar{\psi}_{\alpha\beta} h$  (how hermitian product from linear algebra changes under change of basis matrix. But since these are  $1 \times 1$  matrices (line bundle), the order of multiplication doesn't matter)

$$\begin{aligned} \text{Thus } A_\beta &= \partial \log h_\beta = \partial \log h + \partial \left( \frac{\psi_{\beta\alpha}^{-1} \bar{\psi}_{\beta\alpha}^{-1}}{\psi_{\beta\alpha}^{-1} \bar{\psi}_{\beta\alpha}^{-1}} \right) \\ &= A + \psi_{\beta\alpha} d\psi_{\beta\alpha}^{-1} \quad \text{which is (*) from last lecture.} \end{aligned}$$



Corollary 1: The curvature of the Chern connection

$$F(A) = \bar{\partial} \partial \log |e|^2 = \frac{i}{2} dd^c \log |e|^2$$

where  $e$  is any local holo section of  $L$  without zeros.

note:  $e$  not global, but local. RHS local expression, but patch together.

pf: Exercise

Exercise: explain why  $F(A)$  is not in general  $\bar{\partial}$ -exact.

$$\text{Corollary 2: } \frac{i}{2\pi} [F(A)] = c_1(L) \in H^{1,1}(X)$$

from Corollary 1

Remark: in topology,  $C_1$  of complex v.b. is defined as a class in  $H^1(X; \mathbb{Z})$ . But  $H_{dR}^*(X) \cong H^*(X; \mathbb{R})$  and  $\mathbb{Z} \subset \mathbb{R}$  induces a homomorphism

22/02

Convention:  $X$  compact, connected complex  $n$ -fold.

Consider  $Y \subset X$  analytic hypersurface ( $\Rightarrow Y$  also compact)

Then  $\forall \varphi \in \Omega^{2n-2}(X)$  with  $d\varphi = 0$  (closed) then consider the linear functional

$$[\varphi] \in H_{dR}^{2n-2}(X) \rightarrow \int_Y \varphi \in \mathbb{R}$$

use the orientation on  $Y$   
from complex structure.

independent of representative  
by Stokes'.

By the Poincaré duality,  $\exists! \eta_Y \in \Omega_{dR}^2(X)$  s.t.  $\int_Y \varphi = \int_X \eta_Y \wedge \varphi$

i.e.  $\eta_Y = \text{p.d.}([\varphi])$ ,  $[\varphi] \in H_{2n-2}(X, \mathbb{R})$ , image of  $[\varphi] \in H_{2n-2}(X, \mathbb{Z})$ .

Then  $\forall D \in \text{Div}(X)$ ,  $D = \sum_i a_i Y_i$  (finite), define  $\eta_D = \sum_i a_i \eta_{Y_i} \in H_{dR}^2(X)$

Proposition:  $\eta_D = c_1([D])$ .

Corollary:  $c_1([D])$  is in the image of the natural homomorphism  $H^2(X; \mathbb{Z}) \rightarrow H^2(X; \mathbb{R}) \cong H_{dR}^2(X)$ .

proof of proposition: to show:  $\forall \varphi \in \Omega^{2n-2}(X)$  s.t.  $d\varphi = 0$ , we have the following:

$$\frac{i}{2\pi} \int_X F(A) \wedge \varphi = \sum_i a_i \int_{Y_i} \varphi$$

where  $\sum a_i Y_i = D$ , and  $A$  is a connection on  $[D]$ .  
Let  $A$  be the Chern connection for some norm  $\|\cdot\|$  on the fibres of  $[D]$ .

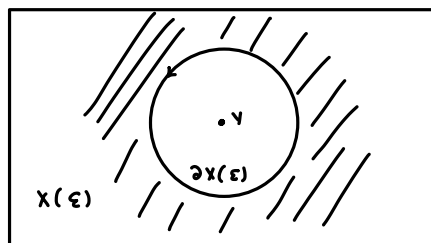
Wlog (linearity) take  $D = Y$  ("one" hypersurface) wlog  $Y$  not singular otherwise use smooth locus.

Let  $X = \bigcup_{\alpha=1}^N U_\alpha$ ,  $f_\alpha$  local defining function for our hypersurface  $Y$  on  $U_\alpha$ . I.e.  $Y = \{s\}$ , where  $s$  is a meromorphic section of  $[D] = [Y]$ , and  $f_\alpha = s_\alpha$  ( $= s|_{U_\alpha}$  in local trivialisation).

Since our divisor is effective, in fact  $s$  is a holomorphic section.

Put  $X(\varepsilon) = \{p \in X : |s(p)| > \varepsilon\}$ ,  $\varepsilon > 0$  (complement of tubular neighbourhood of  $Y$  in  $X$  of size  $\varepsilon$ )

Diagram: cross-section transverse to hypersurface



$$\text{Then } \int_X F(A) \wedge \varphi = \frac{i}{2} \lim_{\varepsilon \rightarrow 0} \int_{X(\varepsilon)} (dd^c \log |s|^2) \wedge \varphi \quad (*)$$

By Stoke's thm exact  $\wedge$  closed = exact, we can then integrate over boundary

$$(*) = -\frac{i}{2} \lim_{\varepsilon \rightarrow 0} \int_{\partial X(\varepsilon)} (d^c \log |s|^2) \wedge \varphi.$$

Look at integrand:  $|s|^2|_{U_\alpha \cap (X \setminus X(\varepsilon))} = |f_\alpha|^2 h_\alpha = f_\alpha \bar{f}_\alpha h_\alpha$ . *go back in recording*

For some  $h_\alpha > 0$  the local expression for Hermitian norm on the fibres of  $[D]$  on  $U_\alpha$ .

$$\begin{aligned} \text{Hence on } U_\alpha \cap (X \setminus X(\varepsilon)), \quad d^c \log |s|^2 &= i(\bar{\partial} - \partial) \log(f_\alpha \bar{f}_\alpha h_\alpha) \\ &= i(\bar{\partial} \log \bar{f}_\alpha - \partial \log f_\alpha + (\bar{\partial} - \partial) \log(h_\alpha)) \end{aligned} \quad f_\alpha \text{ holo}$$

Notice that  $\text{vol}(\partial X(\varepsilon)) \rightarrow 0$  as  $\varepsilon \rightarrow 0$ . Also  $h_\alpha$  is bounded away from 0, and similarly  $\nabla h_\alpha$  is also bounded on  $\bar{U}_\alpha$ . Hence

$$\lim_{\varepsilon \rightarrow 0} \int_{\partial X(\varepsilon) \cap U_\alpha} (d^c \log h_\alpha) \wedge \varphi = 0$$

extends to whole of  $\partial X(\varepsilon)$ .

Since  $\varphi$  is a real differential form, we can write

$$\int_{\partial X(\varepsilon) \cap U_\alpha} (\bar{\partial} \log \bar{f}_\alpha) \wedge \varphi = \overline{\int_{\partial X(\varepsilon) \cap U_\alpha} (\partial \log f_\alpha) \wedge \varphi}$$

Therefore taking the limit

$$(†) \quad \lim_{\varepsilon \rightarrow 0} -\frac{i}{2} \int_{\partial X(\varepsilon) \cap U_\alpha} (d^c \log |s|^2) \wedge \varphi = \lim_{\varepsilon \rightarrow 0} -i \operatorname{Im} \left( \int_{\partial X(\varepsilon) \cap U_\alpha} (d \log f_\alpha) \wedge \varphi \right)$$

Choose local coordinates on  $U_\alpha$  such that  $f_\alpha(z) = z_1$ , ( $z = (z_1, \dots, z_n)$ ). (Can assume  $U_\alpha \cong$  a coord. polydisc in  $\mathbb{C}^n$ ).

Can then decompose  $\varphi = \tilde{\varphi} + \varphi_1$ ,  $\tilde{\varphi}$  is all of the summands containing  $dz_1$  or  $d\bar{z}_1$ . Then

$$\begin{aligned} (†) &= -i \operatorname{Im} \left( \lim_{\varepsilon \rightarrow 0} \int_{|z_1| = \frac{\varepsilon}{\sqrt{h_\alpha}}} \frac{dz_1}{z_1} \wedge \varphi_1(z_1, \dots) \right) \\ &= -i 2\pi \left( \int_{|z_1|=0} \varphi_1(0, z_2, \dots) \right) \quad \text{i.e. the residue.} \end{aligned}$$

Thus, patch over  $U_\alpha$ 's and sum up,

$$\lim_{\varepsilon \rightarrow 0} \frac{i}{2} \int_{\partial X(\varepsilon)} (d^c \log |s|^2) \wedge \varphi = -2\pi i \int_Y \varphi$$

$$\text{I.e. } \int_X F(A) \wedge \varphi = -2\pi i \int_Y \varphi$$



Examples:

1)  $X = S$  Compact connected Riemann surface ( $\dim_{\mathbb{C}} X = 1$ ).

Then  $D = \sum a_i P_i$  for points  $P_i \in S$ ,  $D \in \text{Div}(S)$ .

$\forall P \in S$  is a generator  $[P]$  of  $H_0(S, \mathbb{Z})$ , inducing a group homomorphism  $\text{Div } S \rightarrow \mathbb{Z}$  given by degree map, i.e.  $\deg D = \sum a_i$ .

Then  $\forall P \in S$ ,  $\eta_P = P \cdot D[P] \in H^2(S, \mathbb{Z}) \cong \mathbb{Z}$ . generated by  $\eta_P = [\varphi]$ ,  $\varphi \in \Omega^2(S)$ ,  $\int_S \varphi = 1$ .

If  $L$  is a complex line bundle over  $S$ , define  $\deg L := \langle c_2(L), [S] \rangle \in \mathbb{Z}$ ,  $[S] \in H_2(S, \mathbb{Z})$  fundamental class.

So if  $L = [D]$ , then  $\deg [D] = \frac{-1}{2\pi i} \int_S F(A) = \deg D$  by proposition.

Remark: as  $\deg: \text{Div}(S) \rightarrow \mathbb{Z}$  is clearly surjective,  $\exists$  holo line bundles with mero sections over  $S$  for each value of  $c_1$  as  $H^2(S, \mathbb{Z}) \cong \mathbb{Z}$ .

Recall: for  $L \in \text{Pic}(S)$ ,  $S$  a complex manifold of  $\dim_{\mathbb{C}} = 1$ , compact. Then  $\deg(L)$  is defined as

$$\deg(L) = \langle c_1(L), [S] \rangle \in \mathbb{Z} \quad , \quad \text{where } [S] = \text{fundamental cycle} \in H_2(S, \mathbb{Z}).$$

(called 1<sup>st</sup> Chern number in topology)

Our Proposition asserts then that if  $L = [D]$ , then  $\deg([D]) = \frac{-1}{2\pi i} \int_S F(A) = \deg D$   
 $[D] \in \text{Pic}(S) \quad D \in \text{Div}(S)$ .

Then  $\deg: \text{Div}(S) \rightarrow \mathbb{Z}$  is obviously a surjective homomorphism. Hence  $\exists$  holomorphic line bundles with  $\neq 0$  mero sections over  $S$  with any  $c_1(S)$  (i.e. any degree).

Now let  $S = \mathbb{CP}^1$  (Riemann sphere)  $\cong \mathbb{C} \cup \{\infty\}$ .

- Nonconstant holo maps  $\mathbb{CP}^1 \rightarrow \mathbb{CP}^1$  are precisely the rational functions
- Every rational function has the same # zeros and # poles in  $\mathbb{C} \cup \{\infty\}$  (counting with multiplicities)
- $\sum_{\text{finite}} a_i P_i \sim \sum_{\text{finite}} b_j Q_j$  (linear equiv in  $\text{Div}(\mathbb{CP}^1)$ )  $\Leftrightarrow \sum a_i = \sum b_j$

Consider  $\mathbb{C}^2 \setminus \{(0,0)\} \xrightarrow{\pi} \mathbb{CP}^1$ , the Hopf bundle  $\mathcal{O}(-1)$ . Then the map  $[z_1, z_2] \rightarrow (1, z_1/z_2)$  from  $\mathbb{CP}^1 \rightarrow \mathbb{C}^2 \setminus \{(0,0)\}$  induces a mero section of  $\mathcal{O}(-1)$ . Locally  $s_1 \equiv 1$  on  $U_1 = \{z_1 \neq 0\} \subset \mathbb{CP}^1$ , and  $s_2([z_1, z_2]) = 1/z_1$  on  $U_2 = \{z_2 \neq 0\}$ . Thus  $\exists!$  pole at  $0:1$  and has order 1. Thus divisor is  $D = (-1)[0:1]$ , and  $\deg(\mathcal{O}(-1)) = -1$ . In general,  $\deg \mathcal{O}(K) = K$ .

Proposition: Let  $L \rightarrow \mathbb{CP}^1$  be a holo line bundle, and assume  $c_1(L) = 0$ . Then  $L$  is holomorphically trivial.

Corollary:  $\text{Pic}(\mathbb{CP}^1) = \{ \mathcal{O}(n) : n \in \mathbb{Z} \} \cong \mathbb{Z}$ .

proof of proposition:

If  $c_1(L) = 0$ ,  $\Rightarrow L$  is trivial as a smooth bundle since  $F(A)$  is exact and  $A$  can be represented by a 1-form making sense globally over  $\mathbb{CP}^1$ , thus get a global trivialisation.

Fix a nonvanishing smooth section  $s$  of  $L$ . Then  $L \xrightarrow{\sim} \mathbb{CP}^1 \times \mathbb{C}$ . <sup>(in smooth sense)</sup> Choose a Hermitian norm on fibres and let  $A$  be the Chern connection.

Then  $d_A = \underset{\substack{(1,0) \\ \text{component}}}{\partial_A} + \underset{\substack{(0,1) \\ \text{component}}}{\bar{\partial}_A}$ .

Then  $s$  is holo iff  $\bar{\partial}_A s = 0$ . We want a global, never zero section  $s$  of  $L$  with  $\bar{\partial}_A s = 0$ .

Consider  $s = e^f: \mathbb{CP}^1 \rightarrow \mathbb{C}$ ,  $f$  smooth. Then  $\bar{\partial}_A s = \bar{\partial}s + A''s = 0 \iff \bar{\partial}f = -A''$   
 $A'' \in \Omega^{0,1}(\mathbb{CP}^1)$

Consider  $\mathbb{CP}^1 = U_1 \cup U_2$  as before, where we think of  $\mathbb{CP}^1 = \mathbb{C} \cup \{\infty\}$ ,  $U_1 = \mathbb{C}$ , and  $U_2 = \mathbb{C}^* \cup \{\infty\}$ . Coordinate  $z$  on  $U_1$  and  $\zeta = 1/z$  on  $U_2$ .

$\exists$  local solution  $f_j: U_j \rightarrow \mathbb{C}$  with  $\bar{\partial}f = -A''|_{U_j}$ ,  $j=1,2$  by  $\bar{\partial}$ -Poincaré lemma. Hence  $\bar{\partial}(f_1 - f_2) = 0$  on  $\mathbb{C}^*$ . So write  $f_1(z) - f_2(z) = \sum_{n=-\infty}^{\infty} c_n z^n \quad \forall z \neq 0$ . Then let  $f = \begin{cases} f_1 + \sum_{n=0}^{\infty} c_n z^n & \text{on } U_2 \\ f_2 + \sum_{n=-\infty}^0 c_n z^n & \text{on } U_1 \end{cases}$

Well defined on  $\mathbb{CP}^1$  globally, and solves  $\bar{\partial}f = -A''$ . □

Remarks: • in fact  $\text{Pic}(\mathbb{CP}^n) = \{\mathcal{O}(k)\} \cong \mathbb{Z} \quad \forall n \geq 1$ .

• in particular,  $\mathcal{O}(1)$  has holo section  $s$  with  $(s) = H_0$  for a hyperplane  $H_0 = \{z \in \mathbb{CP}^n: z_0 = 0\} \cong \mathbb{CP}^{n-1}$ .

Identify  $H^2(\mathbb{CP}^n, \mathbb{Z}) \cong \mathbb{Z}$ , then  $c_1(\mathcal{O}(k)) = k$  via this isomorphism.

• (Ex 3, q 9) compute  $\text{Pic}$  for  $E = \mathbb{C}/\Lambda$ ,  $\text{Pic}(E) \cong \mathbb{Z} \oplus E$  ( $E$  as additive group)

In general it's not true that a topologically trivial line bundle is also a holomorphically trivial line bundle, even in  $\dim_{\mathbb{C}} = 1$ .

Definition: Consider a non singular, smooth, analytic hypersurface  $Y \subset X$ . The normal bundle  $N_{Y/X} = \frac{T^{1,0}(X)|_Y}{T^{1,0}Y}$  the quotient bundle. The fibre of this bundle is  $T^{1,0}_p X / T^{1,0}_p Y \quad \forall p \in Y$ .

The conormal bundle  $N^*_{Y/X}$  is the dual of  $N_{Y/X}$ . The fibre at  $p \in Y$  is  $\{\alpha \in (T^{1,0}_p X)^* : \alpha|_{T^{1,0}_p Y} = 0\}$

The conormal bundle is then a subbundle of  $(T^*X)^{1,0}|_Y$ . (exercise to show this).

Consider  $f_\alpha$  local defining functions on  $U_\alpha \subset X$ . Consider  $df_\alpha|_{Y \cap U_\alpha} = 0$  since  $f$  vanishes but  $(df_\alpha)_p$  does not vanish  $\neq 0$  in  $(T_p X)^{1,0} \quad \forall p \in Y \cap U_\alpha$  (since nonsingular  $Y$ ). Hence  $df_\alpha$  defines a local never zero holo section of  $N^*_{Y/X}$ .

Recall can think of  $Y$  as a divisor, which gives rise to a line bundle with transition functions  $\psi_{\alpha\beta} = \frac{f_\alpha}{f_\beta}$  of  $[Y]$ .

The transition functions for  $N^*_{Y/X}$  are  $\tilde{\psi}_{\alpha\beta} = \psi_{\beta\alpha} = \psi_{\alpha\beta}^{-1}$ . To see this,

as  $df_\alpha = d(\psi_{\alpha\beta} f_\beta) = d(\psi_{\alpha\beta}) f_\beta + \psi_{\alpha\beta} d(f_\beta)$ .  $f_\beta$  vanishes on  $Y \cap U_\alpha \cap U_\beta$ , so  
 $= \psi_{\alpha\beta} d(f_\beta)$

Thus  $s_\beta df_\beta = s_\alpha df_\alpha$  iff  $s_\alpha = \psi_{\alpha\beta}^{-1} s_\beta$ . and  $[Y]/Y \otimes N^*_{Y/X}$  is holomorphically trivial. □

Recall: have proved Adjunction formula I:

$$N_{Y/X}^* = ([Y]|_Y)^{-1} = [-Y]|_Y$$

If  $f_\alpha$  is a local defining function of  $Y$  on  $U_\alpha$ , then  $f_\alpha$  extends to local complex coordinates (wlog) on  $U_\alpha = \{f_\alpha, S_2, \dots, S_n\}$  (using  $Y$  is a nonsingular hypersurface). These  $S_2, \dots, S_n$  make sense as local coords on  $Y$ .

Any holomorphic local section of  $K_X$  on  $U_\alpha$  is  $h df_\alpha \wedge \omega_{Y,\alpha}$ , where  $\omega_{Y,\alpha}$  is an  $(n-1)$ -form, i.e. a local section of  $K_Y$  (pulled back onto  $X$  via the projection  $(f, S) \rightarrow S$ ).

On  $U_\alpha \cap U_\beta$ , we have  $S^{(\beta)} = F_{\beta\alpha}(S^{(\alpha)})$ , and  $f_\beta = G_{\beta\alpha}(f_\alpha, S^{(\alpha)})f_\alpha$  with  $G_{\beta\alpha}(0, S^{(\alpha)}) = \psi_{\beta\alpha}(S^{(\alpha)})$  a transition function of the holomorphic line bundle associated with  $Y$  as a divisor.

We find

$$K_X|_Y = N_{Y/X}^* \otimes K_Y.$$

This is the Adjunction formula II:

$$K_Y \cong (K_X \otimes [Y])|_Y$$

We can use this to determine  $K_Y$  for hypersurfaces in  $\mathbb{CP}^n$ , more generally, many projective manifolds.

### The Canonical bundle of $\mathbb{CP}^n$

Coords:  $[z_0 : \dots : z_n]$ , with neighborhoods:  $U_0 = \{z_0 \neq 0\} \subset \mathbb{CP}^n$ , complex local coords:  $w_i = \frac{z_i}{z_0}$ ,  $i=1, \dots, n$ .

Can write a meromorphic section of  $K_{\mathbb{CP}^n}$  over  $U_0$ :

$$\omega = \frac{dw_1}{w_1} \wedge \dots \wedge \frac{dw_n}{w_n}$$

Define  $H_j = \{z_j = 0\} \subset \mathbb{CP}^n$ . Corresponds to vanishing of  $w_j$  in our complex coords. Then clearly over every such ( $j=1, \dots, n$ ) hyperplane, our meromorphic section will have a pole of order 1. Hence  $\text{ord}_{H_j} \omega = -1$  for  $j=1, \dots, n$ .

Again,  $U_j = \{z_j \neq 0\}$ , can write local coords  $\tilde{w}_k = \frac{z_k}{z_j}$ ,  $k \neq j$ . Then the relation between local coords is

$$w_i = \frac{\tilde{w}_i}{\tilde{w}_0} \quad \begin{pmatrix} i \neq j \\ i \neq 0 \end{pmatrix}$$

$$w_j = \frac{1}{\tilde{w}_0}$$

Then  $\frac{dw_i}{w_i} = \frac{d\tilde{w}_i}{\tilde{w}_i} - \frac{d\tilde{w}_0}{\tilde{w}_0}$  with  $i \neq j, i \neq 0$ , and  $\frac{dw_j}{w_j} = \frac{d\tilde{w}_0}{\tilde{w}_0}$ . Substituting into formula for  $\omega$ :

$$\omega = (-1)^j \frac{d\tilde{w}_0}{\tilde{w}_0} \wedge \dots \wedge \frac{d\tilde{w}_n}{\tilde{w}_n}$$

Thus there are  $n+1$  simple poles, one along each hyperplane  $H_i$  for  $i=0, \dots, n$ . But since we know  $\frac{z_i}{z_j}$  is a meromorphic function on  $\mathbb{CP}^n$  (associated divisor is principal, i.e. a unit in  $\text{Div}(\mathbb{CP}^n)$ ), then any hyperplanes  $H_i$  are linearly equivalent.  $H_i \sim H_j$  in  $\text{Div}(\mathbb{CP}^n) \forall i, j$ . Hence we can think of this as one pole of order  $n+1$ . Therefore

$$K_{\mathbb{CP}^n} = [(\omega)] = [-(n+1)H] = \mathcal{O}(-n-1).$$

Similar question in example sheet, using Q6 in Ex2. as  $\mathcal{O}(-1) = [-H_0]$ , meromorphic section is locally given by  $s|_{U_0} \equiv 1$ ,  $s|_{U_j} = \frac{z_j}{z_0}$ . So 1 simple pole.

## Blow-up

Consider a polydisc  $\Delta \subset \mathbb{C}^n$  about 0. Write the blow up of  $\Delta$  as ↑ informal def.

$$\tilde{\Delta} = \{ (z, w) \in \Delta \times \mathbb{CP}^{n-1} : z_i w_j = z_j w_i \quad \forall i, j \}$$

Claim:  $\tilde{\Delta}$  is a complex manifold.

This condition  $z_i w_j = z_j w_i$  says that  $z$  lies on the line defined by the point  $w$  of  $\mathbb{CP}^{n-1}$ :  $\frac{z_i}{z_j} = \frac{w_i}{w_j}$ .

Charts: for each standard chart  $h_j : U_j \subset \mathbb{CP}^{n-1} \rightarrow \mathbb{C}^{n-1}$ , put

$$\hat{h}_j : (\Delta \times U_j) \cap \tilde{\Delta} \rightarrow (\mathbb{C}^{n-1}, z_j)$$

Straightforward check that these are well defined on overlaps.

Definition:  $\sigma : \tilde{\Delta} \rightarrow \Delta$ ;  $(z, w) \mapsto z$  is called the blow up of  $\Delta$  at 0.

Observe  $\tilde{\Delta} \setminus \sigma^{-1}(0)$  is mapped biholomorphically onto  $\Delta \setminus \{0\}$ , and  $\sigma^{-1}(0) \cong \mathbb{CP}^{n-1}$  easy check.

Informally,  $\tilde{\Delta}$  means "lines through 0 in  $\Delta$  are made distinct"

Remark:

• The blow up is trivial in  $\dim_{\mathbb{C}} n = 1$ .

• Let  $\Delta = \mathbb{C}^n$ . Then the second projection is a map  $\tilde{\mathbb{C}}^n \rightarrow \mathbb{CP}^{n-1}$  realizes  $\mathcal{O}(-1)$ . The charts of  $\tilde{\mathbb{C}}^n$  correspond to local trivialisations of  $\mathcal{O}(-1)$ .

• Can generalize to  $n$  manifolds, say  $X$ : Consider  $x \in X$ ,  $U \subset X$  a coord polydisc with chart  $\varphi : U \xrightarrow{\text{onto}} \Delta \subset \mathbb{C}^n$ , with  $x \in U$  and  $\varphi(x) = 0$ .

Put  $\tilde{X} = (X \setminus \{x\}) \cup_{\varphi^{-1} \circ \sigma} \tilde{\Delta}$ , identifying  $\tilde{\Delta} \setminus \sigma^{-1}(0) \cong U \setminus \{x\}$ . We obtain a hol map  $\pi : \tilde{X} \rightarrow X$ , called the blow up of  $X$  at  $x$ .

We call  $\pi^{-1}(x) = E$  the exceptional divisor. It is bihol to  $\mathbb{CP}^{n-1}$ , and makes sense as a hypersurface in  $\tilde{X}$ , so  $E \in \text{Div}(\tilde{X})$

**Proposition:**  $[E]|_E = \mathcal{O}(-1)$ .

pf: in local coordinates near  $E$ , we have  $E \cap (\Delta \times U_j) = \{ (z, w) : z = 0 \}$ . The transition functions are

$$\psi_{ij}(z, w) = \frac{z_i}{z_j} = \frac{w_i}{w_j},$$

which are exactly the transition functions of  $\mathcal{O}(-1)$ .

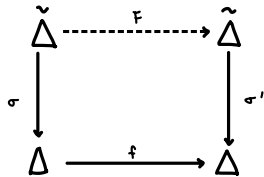


**Lemma:**  $\tilde{X}$  is independent of the coordinate chart  $\varphi$ .

pf: consider  $z_j' = f_j(z)$  ( $z$  previous coords) new complex coordinates on  $X$ . We also need to introduce new  $w$  coords. Thinking about it, the role of the  $w$ 's are lines through the origin in the polydisc, which we can just identify as the tangent space at the origin of the polydisc. Hence  $w_j'$  are coordinates of a tangent vector,

$$w_j' = \sum_i \frac{\partial f_j}{\partial z_i}(0) w_i$$

Then we have a commutative diagram:



Claim:  $F(z, w) = (z', w')$  as defined above is biholomorphic.

Special case:

Suppose  $f$  is linear, given by  $[A_j] \in GL(n, \mathbb{C})$ . Then  $z_i' w_j' = \sum_k A_i^k z_k \sum_\ell A_j^\ell w_\ell = \sum_{k, \ell} A_i^k A_j^\ell z_k w_\ell = z_j' w_i'$  ??

Thus  $F$  biholo and diagram commutes

Now wlog assume  $\frac{\partial f_i}{\partial z_j}(0) = \delta_{ij}$  (complex Jacobian is identity). Then  $w_j' = w_j \forall j$ . In local coordinates,  $\tilde{\Delta}$  (as defined before) have

$$w_1, \dots, \hat{z}_j, \dots, w_n, f_j(z) = z_j + \text{higher order terms} \\ \text{(using } f \text{ is complex analytic).}$$

Thus  $(dF)_p = \text{identity of tangent space } \forall p \in \tilde{\Delta}$ . By inverse mapping thm (complex variables),  $F$  biholo in some hood of  $p \forall p \in \tilde{\Delta} \Rightarrow F$  biholo. □

**Proposition:** let  $\sigma: \tilde{X} \rightarrow X$  be the blow up of  $X$  at a point  $x \in X$ . Then  $K_{\tilde{X}} = \sigma^*(K_X) \otimes [(n-1)E]$ , where  $n = \dim(X)$ .

proof: Assume  $K_X$  admits nontrivial mero sections. So let  $w$  be a mero nontrivial  $(n, 0)$ -form on  $X$ .

Zeros and poles of pullback  $\sigma^*w$ : away from  $E$ , zeros and poles on  $\tilde{X}$  are biholomorphically related to those of  $w$  with the same orders.

Near  $x \in X$ , we have in local coords  $w = f dz_1 \wedge \dots \wedge dz_n$ ,  $f$  holo (mero section), i.e.  $\bar{\partial}f = 0$ . In local coords on  $U_j$

$$\sigma|_{U_j} : (v_1, \dots, v_{n-1}, z) \mapsto (zv_1, \dots, \underset{\substack{\uparrow \\ j\text{th position}}}{z}, \dots, zv_n)$$

(equivalent to first local coord way we wrote it, but not exactly the same)

$$\Rightarrow \sigma^* \omega = (f \circ \sigma) d(z_{v_1}) \wedge d(z_{v_2}) \wedge \dots \wedge d(z_{v_{j-1}}) \wedge d(z_{v_{j+1}}) \wedge \dots \wedge d(z_{v_{n-1}})$$

jth pos.

$$= (f \circ \sigma) z^{n-1} dv_1 \wedge \dots \wedge dv_{j-1} \wedge dv_{j+1} \wedge \dots \wedge dv_{n-1} \quad \text{by product rule and antisymmetry.}$$

Have an "extra" zero of order  $n-1$ , along  $E \cap U_j = \{z=0\}$ . Patching along all  $j$ 's, this gives us the proposition. □

**Definition:**  $\forall$  complex manifolds  $X$ , define  $c_1(X) := -c_1(K_X)$ , the "first chern class of  $X$ ".

**Corollary:**  $c_1(\tilde{X}) = \sigma^* c_1(X) - (n-1) \text{P.D. } [E]$ .

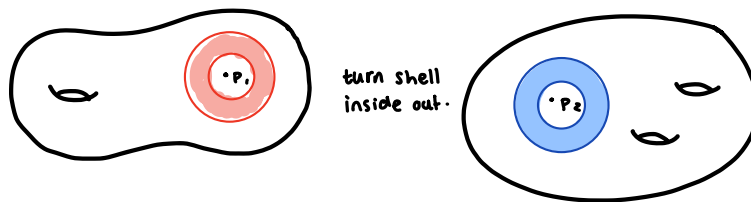
**Remark** (for topologists) When  $\dim_{\mathbb{C}} X = 2$ , then  $\deg([E]|_E) = -1 = \int_E c_1([E]) = E \cdot E$ , the self-intersection number

## Blow up as a connected sum

Let  $M_1$  and  $M_2$  be smooth real manifolds with  $\dim M_1 = \dim M_2 = m$ . Choose a point  $p_1 \in M_1$ ,  $p_2 \in M_2$ , and take charts  $\varphi_i: U_i \subset M_i \rightarrow \mathbb{R}^m$  near  $p_i$ , wlog  $\text{im}(U_i) = B_0 = \{x \in \mathbb{R}^m, \|x\| < 3\}$ .

Define a map by  $\xi: z \in \{\frac{1}{2} < \|x\| < 2\} \subset B_0 \longrightarrow \frac{x}{\|x\|^2} \in \{\frac{1}{2} < \|x\| < 2\} \subset B_0$ , a diffeo of a spherical shell.

Then



The connected sum of  $M_1$  and  $M_2$  at  $p_1, p_2$  is

$$M_1 \# M_2 := \left( M_1 \setminus \varphi_1^{-1}(\|x\| \leq 1/2) \right) \bigcup_{\varphi_2^{-1} \circ \xi \circ \varphi_1} \left( M_2 \setminus \varphi_2^{-1}(\|x\| < 1/2) \right)$$

Two manifolds connected by a tubular region diffeo to  $S^{m-1} \times I$

This is independent of the charts  $\varphi_1, \varphi_2$ . If we assume that  $M_1$  and  $M_2$  are both oriented and  $\varphi_1$  preserves the orientation,  $\varphi_2$  reverses orientation, then since  $\xi$  is an orientation reversing diffeo (easy to see), then  $M_1 \# M_2$  is oriented with appropriate choices of oriented atlases for  $M_1$  and  $M_2$ .

**Proposition:** let  $X$  be a complex manifold of dimension  $n$ . Then the blow up  $\tilde{X}$  of  $X$  at  $x \in X$  is diffeo (as a smooth real manifold)  $X \# \overline{\mathbb{CP}^n}$  at  $x$  and any point in  $\overline{\mathbb{CP}^n}$ , where  $\overline{\mathbb{CP}^n}$  is the underlying real manifold for  $\mathbb{CP}^n$ , but with orientation reversed from that of the complex structure.

if  $n$  odd, conjugate each coord reverses orientation, so your  $\overline{\mathbb{CP}^n}$  is actually what you think it is. But if  $n$  even, conjugate even # of times gets you back to same orientation, so not the right idea. By  $\overline{\mathbb{CP}^n}$  we just mean orientation reversed, but if  $n$  odd then its conjugate

proof: wlog assume  $X = \Delta \subset \mathbb{C}^n$  a polydisc with sufficiently large radius and  $0 \in X$  the blow up point. (can just work in a neighbourhood w/ sufficient radius). To show:  $\tilde{\Delta}$  is orientation-preserving diffeomorphic to  $\mathbb{CP}^n \setminus (\text{coord ball})$ , the ball that we're going to blow up.

In local coords,  $\tilde{\Delta} = \{ (z, w) \in \Delta \times \mathbb{CP}^{n-1} : z_i w_j = z_j w_i \forall i, j \}$ .

$$\overline{\mathbb{CP}^n} = \{ [\bar{z}_0 : z] \mid z_0 \in \mathbb{C}, z \in \mathbb{C}^n, |z_0|^2 + \|z\|^2 \neq 0 \}$$

Using a coord chart  $\varphi: U = \{ [1: z] \} \rightarrow \mathbb{C}^n$ ;  $1: z \mapsto z$  is a holomorphic orientation-reversing chart on  $\overline{\mathbb{CP}^n}$

Consider  $\overline{\mathbb{CP}^n} \setminus \underbrace{\varphi^{-1}(\|z\| < 1/2)}_K = \{ [\bar{z}_0 : z] : \|z\| > \frac{1}{2} |z_0| \}$ . The gluing map for the connected sum:

$$\psi: [\bar{z}_0 : z] \in \overline{\mathbb{CP}^n} \setminus K \xrightarrow{\text{diffeo}} \left( \underbrace{\frac{z_0}{\|z\|^2}}_{\in \mathbb{C}} z, \underbrace{\pi(z)}_{\in \mathbb{CP}^{n-1}} \right) \quad \pi: \mathbb{C}^n \setminus \{0\} \rightarrow \mathbb{CP}^{n-1} \text{ projection map}$$

$$\in \sigma^{-1}(\|z\| < 2) \subset \tilde{\Delta}.$$

where  $\sigma: \tilde{\Delta} \rightarrow \Delta$  blow up map

### 3. Hermitian and Kähler Geometry

Definition: A Hermitian metric on a complex manifold  $X$  is a (positive - definite) Hermitian inner product  $h$  on the (fibres of) the holomorphic tangent bundle, i.e.

$$h(p) : T_p^{1,0} \times T_p^{1,0} \rightarrow \mathbb{C} \quad \text{with smooth dependence on } p \in X,$$

i.e.  $\forall$  smooth sections  $A, B$  of  $T^{1,0}X$ , we have  $h(A, B) \in C^\infty(X)$ . ( $\in$  complex)

In local coords,  $h = \sum_{i,j} h_{i\bar{j}}(z) dz_i d\bar{z}_j$ , with smooth coefficients  $h_{i\bar{j}}(z)$ .  
 $\bar{j}$  is a notational convention

If  $A = \sum_i A_i \frac{\partial}{\partial z_i}$ ,  $B = \sum_j B_j \frac{\partial}{\partial z_j}$ , then  $h(A, B) = \sum_{i,j} h_{i\bar{j}} A_i \bar{B}_j$

Proposition: There is a natural equivalence between

- Hermitian metrics on  $X$ , and
- $J$ -invariant Riemannian metrics  $g$  on the underlying real manifold of  $X$ , i.e.

$$g(JA, JB) = g(A, B), \quad \text{where } J \in \Gamma(\text{End } TX^{\mathbb{R}}) \text{ is the almost complex structure.}$$

Proof: Recall  $e \in T_x X \xrightarrow{\gamma} e - iJe \in T_x^{1,0} X$  is a linear isomorphism of real vector spaces. Precisely,  $\gamma(Je) = i\gamma(e)$ . This implies that, given  $h$  as above, can construct a Riemannian metric

$$g(u, v) := \frac{1}{2} \text{Re} (h(u - iJu, v - iJv))$$

Since  $h(iA, iB) = h(A, B)$ , then  $g(Ju, Jv) = g(u, v)$ .

For the converse, given  $g$  a  $J$ -invariant Riemannian metric, we can extend  $g$  to a Hermitian  $h$  on  $TX \otimes_{\mathbb{R}} \mathbb{C}$ , given by  $h(\lambda u, \mu v) := \lambda \bar{\mu} g(u, v) \quad \forall u, v \in TX, \lambda, \mu \in \mathbb{C}$ . Then restrict to the subspaces  $T_x^{1,0} X \subset T_x X \otimes \mathbb{C}$ , which inverts the first construction. □

In coordinates,  $\frac{\partial}{\partial \bar{z}_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right)$ ,  $g \left( \frac{\partial}{\partial x_j}, \frac{\partial}{\partial x_k} \right) \stackrel{J \text{ invariance}}{=} g \left( \frac{\partial}{\partial y_j}, \frac{\partial}{\partial y_k} \right) = 2 \text{Re } h \left( \frac{\partial}{\partial \bar{z}_j}, \frac{\partial}{\partial \bar{z}_k} \right)$

Therefore we can use the concepts of Riemannian Geometry for Hermitian manifolds (a complex manifold equipped with a Hermitian metric)  $(X, h)$  via the  $J$ -invariant Riemannian metric (the "Re" of  $h$ ).

Proposition / Definition: Define  $\omega(u, v) = -\frac{1}{2} \text{Im } h(u - iJu, v - iJv) \quad (*)$ . Then  $\omega$  is a real (1,1)-form, called the fundamental form of  $h$ . Furthermore,

$$\omega(u, v) = g(Ju, v)$$

In fact, any two of  $\omega$ ,  $g$  and  $J$  determine the remaining one.

proof:  $\omega \in \Omega^{1,1} \Leftrightarrow \omega$  is  $J$ -invariant:  $\omega(Ju, Jv) = \omega(u, v)$ . (other form types have different terms from this. In  $(*)$ , the  $Ju, Jv$  in LHS are converted to multiplication by  $i$  in RHS. Since  $h$  is Hermitian, it's  $i$ -invariant and so the expression follows.

For the expression  $\omega(u,v) = g(Ju,v)$ ,

Consider  $-\frac{1}{2} \operatorname{Im} h(u-iJu, v-iJv) = \frac{1}{2} \operatorname{Re} h(i(u-iJu), v-iJv) = \frac{1}{2} \operatorname{Re} h(Ju+iu, v-iJv) = g(Ju,v)$ .  
*First factor*



Last part - exercise (easy)

In coords,

$$g = 2 \sum_{i,j} \left( (\operatorname{Re} h_{i\bar{j}}) (dx_i dx_j + dy_i dy_j) + \operatorname{Im}(h_{i\bar{j}}) (dx_i dy_j - dx_j dy_i) \right)$$

as  $g\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial y_j}\right) = 2 \operatorname{Re} h\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right) = 2 \operatorname{Re}(-ih\left(\frac{\partial}{\partial x_i}, i\frac{\partial}{\partial x_j}\right))$

$$= 2 \operatorname{Im} h\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right)$$

$$= -\omega\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right)$$

$$= -g\left(\frac{\partial}{\partial y_i}, \frac{\partial}{\partial x_j}\right)$$

Lemma: In complex local coords,

$$\omega = i \sum_{i,j} h_{i\bar{j}} dz_i \wedge d\bar{z}_j$$

*invariant under complex conjugation, real form.*

proof:  $i dz_i \wedge d\bar{z}_j = i(dx_i + idy_i) \wedge (dx_j - idy_j)$

$$= i(dx_i \wedge dx_j + dy_i \wedge dy_j) + (dx_i \wedge dy_j + dx_j \wedge dy_i)$$

Then  $\omega\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial y_j}\right) = g\left(\frac{\partial}{\partial y_i}, \frac{\partial}{\partial y_j}\right) = 2 \operatorname{Re} h_{i\bar{j}}$ .

$\omega\left(\frac{\partial}{\partial x_i}, \frac{\partial}{\partial x_j}\right) = g\left(\frac{\partial}{\partial y_i}, \frac{\partial}{\partial x_j}\right) = -2 \operatorname{Im} h_{i\bar{j}} = \omega\left(\frac{\partial}{\partial y_i}, \frac{\partial}{\partial y_j}\right)$  *denote  $\left(\frac{\partial}{\partial y_i}, \frac{\partial}{\partial y_j}\right) = \left(\frac{\partial}{\partial y_i}, \frac{\partial}{\partial y_j}\right)$*

Thus  $\sum_{i,j} i h_{i\bar{j}} dz_i \wedge d\bar{z}_j = \sum_i h_{i\bar{i}} dz_i \wedge d\bar{z}_i + \sum_{i < j} 2 \operatorname{Re}(h_{i\bar{j}} i dz_i \wedge d\bar{z}_j)$

$$= \sum_{i,j} 2 \operatorname{Re} h_{i\bar{j}} dx_i \wedge dy_j - \sum_{i < j} 2 \operatorname{Im} h_{i\bar{j}} (dx_i \wedge dx_j + dy_i \wedge dy_j)$$

$$= i \sum_{i,j} h_{i\bar{j}} dz_i \wedge d\bar{z}_j.$$



From our work, it follows that for any  $a \in T^{1,0} X$ , we have  $-\omega(a,a) > 0$  for  $a \neq 0$ . Call any real  $(1,1)$ -form  $\sigma$  s.t.  $-\omega(a,a) > 0 \quad \forall a \in T^{1,0} X \setminus \{0\}$  a positive  $(1,1)$ -form, which we denote by  $\sigma > 0$ .

Further, the 1<sup>st</sup> Chern class of a complex line bundle  $L$  say,  $c_1(L) > 0$  iff  $c_1(L)$  is represented by a (closed) positive  $(1,1)$  form.

E.g. if  $X$  has  $c_1(X) > 0$ , then  $X$  is called a Fano manifold. If  $c_1(X) = 0$  (rep by exact form), then  $X$  is called a Calabi-Yau manifold. E.g.  $\mathbb{CP}^n$  is a Fano manifold

E.g. complex torus is a Calabi-Yau manifold.

Any positive (1,1) - form is equivalent to a Hermitian metric on  $X$ .

If  $f: Y \rightarrow X$  is a holomorphic immersion (↑ deriv. of  $f$  is an injective linear map at all points.  $Y$  is an immersed complex submanifold). Then  $f^*g$  is a well-defined Riemannian metric. (I.e.  $(df)_y^*: T_y^{1,0} \rightarrow T_{f(y)}^{1,0} X$  injective  $\forall y \in Y$ ).

and  $g$  is  $J$ -invariant, so  $df \circ J_Y = J_X \circ df$  converts al. comp of  $Y$  to one of  $X$ . Therefore, a Hermitian metric is induced on any immersed complex submanifold of  $X$ .

If  $(X, h)$  is a Hermitian metric,  $Y \subset X$  a submanifold, then  $Y$  inherits a Hermitian metric by pulling back via the immersion.

Locally,  $Y$  is given by the vanishing of  $n-k$  coords:  $\{z_{k+1} = \dots = z_n = 0\}$  ( $\dim Y = k$  and  $\dim X = n$ ). Then the immersion  $f: Y \rightarrow X$  is  $f(z_1, \dots, z_k) = (z_1, \dots, z_k, 0, \dots, 0)$ . Therefore

lemma: the fundamental form of  $f^*h$  is  $f^*\omega = i \sum_{i,j=1}^k h_{i\bar{j}} dz_i \wedge d\bar{z}_j$  (sum only up to  $k$ ).

Can equivalently give a Hermitian manifold as  $(X, \omega)$  using the fundamental form (remember two of  $g, J, \omega$  determine the third).

Definition: a Hermitian manifold  $(X, \omega)$  with  $d\omega = 0$  is called a Kähler manifold. Then  $\omega$  is called a Kähler form on  $X$ , and  $h$  is a Kähler metric.

Examples:

0.  $\mathbb{C}^n$ ,  $h = \frac{1}{2} \sum_j dz_j \otimes d\bar{z}_j$  the standard Hermitian metric (real part is Euclidean metric)

$$\omega = \frac{i}{2} \sum_j dz_j \wedge d\bar{z}_j = \sum_j dx_j \wedge dy_j \quad \text{where } z_j = x_j + iy_j$$

Which is the standard symplectic form on  $\mathbb{R}^{2n}$ .

1. a) the metric in 0. descends to any complex torus  $\mathbb{C}^n / \Lambda$ ,  $\Lambda \cong \mathbb{Z}^{2n}$  a discrete lattice in  $\mathbb{C}^n$ .

b) On a Riemann surface, any non-vanishing 2-form (compatible with orientation of the complex structure). By dim reasons, it must be a (1,1) form and closed. It's also positive,  $>0$  by compatibility. Hence every Riemann surface is Kähler (with any Hermitian metric)

2.  $\pi: \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{CP}^n$ ,  $[z_0: \dots: z_n]$  coordinates. Consider  $V_j$  an affine hyperplane,

$$V_j = \{z \in \mathbb{C}^{n+1} : z_j = 1\} \quad \text{an affine hyperplane}$$

Then set  $\pi(V_j) =: U_j \subset \mathbb{CP}^n$  as usual.  
↑  
biholo

Then let  $\omega = \frac{i}{2\pi} \partial \bar{\partial} \log(\|z\|^2) \in \Omega^{(1,1)}(V_j)$   
↑  
standard Euclidean norm on  $V_j$

This defines a real (1,1) form on  $U_j$ , since  $\pi: V_j \rightarrow U_j$  is a biholomorphism.

Change of local coordinates  $z \in V_j \rightarrow f z \in V_k$ ,  $f = \frac{z_j}{z_k}$  is a holomorphic nonvanishing function on  $\pi^{-1}(\pi(V_j) \cap \pi(V_k))$ .

On the intersection,

$$\frac{i}{2\pi} \partial \bar{\partial} \log \|f z\|^2 = \frac{i}{2\pi} \partial \bar{\partial} (\log \|z\|^2 + \log f \bar{f}) = \omega + \underbrace{\frac{i}{2\pi} \partial \bar{\partial} \log (f \bar{f})}_{\text{claim: this} = 0}$$

$f \bar{f} = \|f\|^2$

Now consider

$$\frac{i}{2\pi} \partial \bar{\partial} \log (f \bar{f}) = \frac{i}{2\pi} \partial \frac{\bar{\partial} (f \bar{f})}{f \bar{f}} = \omega$$

$\partial \bar{f} = 0$

$$\text{But } \partial \frac{f \bar{\partial} f}{f \bar{f}} = - \frac{\bar{\partial} (\partial \bar{f})}{\bar{f}} = 0$$

Thus  $\omega$  is a well-defined form on  $\mathbb{CP}^n$ .

Also,  $\forall T \in U(n+1)$ ,  $T$  induces a map  $T: \mathbb{CP}^n \rightarrow \mathbb{CP}^n$  called a projective transformation, and  $T^* \omega = \omega$ .

Lemma/Definition:  $\omega > 0$ . Thus  $\omega$  is the fundamental form of a Kähler metric on  $\mathbb{CP}^n$ , called the Fubini-Study metric.

( $U(n+1)$  symmetry)

proof: By our above remark, it suffices to check the positivity at one point.

$$\begin{aligned} \text{Then } \omega|_{u_0} &= \frac{i}{2\pi} \log \|z\|^2 = \frac{i}{2\pi} \partial \bar{\partial} \log \left( 1 + \underbrace{\sum_{j=1}^n z_j \bar{z}_j}_{\text{zero coord is 1}} \right) \\ &= \frac{i}{2\pi} \partial \sum \frac{z_j d \bar{z}_j}{1 + \sum z_j \bar{z}_j} \\ &= \frac{i}{2\pi} \left( \sum \left( \frac{dz_j \wedge d \bar{z}_j}{1 + \sum z_j \bar{z}_j} \right) - \frac{\sum \bar{z}_j dz_j \wedge \sum z_j d \bar{z}_j}{(1 + \sum z_j \bar{z}_j)^2} \right) \end{aligned}$$

We can just check positivity at e.g.  $[1:0:\dots:0]$ , i.e.  $z_1 = z_2 = \dots = 0$ . Plugging in,

$$= \frac{i}{2\pi} \left( \sum dz_j \wedge d \bar{z}_j \right),$$

Which is just example 0, which is positive. Then by symmetry, it's positive everywhere. □

3. Given a positive  $(1,1)$ -form representing  $c_1(X)$  for a Fano manifold  $X$ , we can make it into a Kähler manifold. In particular,  $\mathbb{CP}^n$  is Fano (sheet 4).

4. Proposition: Every complex submanifold of a Kähler manifold is Kähler (by pulling back Kähler form)

Corollary: every projective manifold is Kähler.

## Detour to Riemannian Geometry

If  $(M, g)$  is an oriented Riemannian manifold,  $\dim_{\mathbb{R}} M = n$ . Then  $\forall x \in M$  can use Gram-Schmidt to construct a local orthonormal coframe field  $\omega_1, \dots, \omega_n$ . Taking the wedge gives the volume form:

Then  $d\text{vol}_g = \omega_1 \wedge \dots \wedge \omega_n$  positively oriented so  $d\text{vol}_g$  compatible w/ orientation.

is independent of choice of  $\omega_i$ 's and is well-defined,  $d\text{vol}_g \in \Omega^n(M)$ .

This is called the volume form of  $(M, g)$ .

Now let  $(X, h)$  be a Hermitian manifold,  $h = \sum_{i,j} h_{i\bar{j}} dz_i \otimes d\bar{z}_j$ . Let  $g$  be the corresponding Riemannian metric, i.e.

$$g = 2\text{Re} h = 2 \sum \left( (\text{Re} h_{i\bar{j}}) (\text{Re} dz_i d\bar{z}_j) - (\text{Im} h_{i\bar{j}}) (\text{Im} dz_i d\bar{z}_j) \right)$$

$\omega(\cdot, \cdot) = g(J\cdot, \cdot)$  the fundamental form.

Near each  $x \in X$ , we can find "adapted" local orthonormal coframe field  $\omega_1, \varepsilon_1, \dots, \omega_n, \varepsilon_n$  for  $\tau^*X$  wrt.  $g$ , where  $\varepsilon_k = -J\omega_k$ , and  $\omega_k = J\varepsilon_k \forall k$ . Then  $\omega_1 + i\varepsilon_1, \dots, \omega_n + i\varepsilon_n$  is an orthonormal coframe field wrt.  $h$  for  $(\tau^*X)^{1,0}$ .

$$h = \frac{1}{2} \sum_k (\omega_k + i\varepsilon_k) \otimes (\omega_k - i\varepsilon_k), \quad g = \sum_k (\omega_k \otimes \omega_k + \varepsilon_k \otimes \varepsilon_k)$$

N.B.  $J(\omega_k + i\varepsilon_k) = -\varepsilon_k + i\omega_k = i(\omega_k + i\varepsilon_k)$ . Thus  $\omega_k + i\varepsilon_k$  is a  $(1,0)$ -form.

Hence  $\omega = \sum_k (\omega_k \otimes \varepsilon_k - \varepsilon_k \otimes \omega_k) = \sum_k \omega_k \wedge \varepsilon_k$  (antisymmetric)

In particular,  $\omega^n = n! \omega_1 \wedge \varepsilon_1 \wedge \omega_2 \wedge \varepsilon_2 \wedge \dots \wedge \omega_n \wedge \varepsilon_n$ . Thus we have shown

Proposition:  $d\text{vol}_g = \frac{\omega^n}{n!}$  is the volume form of a Hermitian manifold  $(X, \omega)$ .

Consider a complex submanifold  $Y \subset X$ ,  $\dim Y = d$ . Then  $\omega|_Y$  is the fundamental form of the induced Hermitian metric on  $Y$ .

Then  $\frac{\omega^d}{d!}$  is the volume form of the corresponding Riemannian metric on  $Y$ . Obtain following corollary:

Wirtinger Theorem: for each compact complex submanifold  $Y$  of a Hermitian manifold  $(X, \omega)$ .

$$\text{vol}(Y) = \frac{1}{d!} \int_Y \omega^d$$

Suppose that  $X$  is compact and Kähler, i.e.  $d\omega = 0$ ,  $[\omega] \in H_{d\mathbb{R}}^2(X)$ . Then

$$\int_X \omega^n = n! \text{vol}(X) \neq 0 \quad (\text{topologically } \langle [\omega]^n, [X] \rangle \neq 0)$$

So  $[\omega] \neq 0$  by Stoke's theorem (cannot be exact), and for the same reason,  $[\omega^k] \neq 0 \quad \forall k=1, \dots, n$  complex dim. of  $X$ .



If  $Y$  is a compact complex submanifold, (i.e.  $Y^{\mathbb{R}}$  is a closed submanifold), then  $[Y] \in H_{2d}(X, \mathbb{R})$ , and

$$\int_Y \omega^d = d! \text{vol}(Y) \neq 0$$

Therefore  $[Y] \neq 0$  in  $H_{2d}(X, \mathbb{R})$  by application of Stokes' Theorem. Consequently, taking  $X = \mathbb{CP}^n$ , we find that for  $Y \subset X$  a projective manifold, then  $[Y] \neq 0$ .

## Hodge Theory

Let  $M$  be an oriented Riemannian manifold,  $\dim_{\mathbb{R}} M = m$ , with Riemannian metric  $g$

Then the inner product on  $T_x^* M$  defined by the metric can be extended to the  $r^{\text{th}}$  exterior power  $\Lambda^r T_x^* M$ ,  $x \in M$  so that

$$\left\{ \omega_{i_1} \wedge \dots \wedge \omega_{i_r} \mid 1 \leq i_1 < \dots < i_r \leq m \right\}$$

is an orthonormal basis ( $\omega_i$  are a local orthonormal coframe field around  $x$ ).

In particular,  $d\text{vol}_g = \omega_1 \wedge \dots \wedge \omega_m$  has norm 1.

Definition: the Hodge  $*$  :  $\Lambda^p T_x^* M \rightarrow \Lambda^{m-p} T_x^* M$  is a linear map satisfying

$$\alpha \wedge * \beta = \langle \alpha, \beta \rangle_g d\text{vol}_g \quad \forall \alpha, \beta \in \Lambda^p T_x^* M$$

Hodge  $*$  is uniquely determined by  $*(\omega_{i_1} \wedge \dots \wedge \omega_{i_r}) = \omega_{j_1} \wedge \dots \wedge \omega_{j_{m-r}}$  such that

$$\{i_1, \dots, i_r, j_1, \dots, j_{m-r}\} = \{1, \dots, m\}$$

In particular,  $\begin{pmatrix} i_1, \dots, i_r, j_1, \dots, j_{m-r} \\ 1, \dots, m \end{pmatrix}$  is an even permutation

$$\Rightarrow *^2 \alpha = (-1)^{r(m-r)} \alpha$$

note:  $d\text{vol}_g \mapsto 1$ ,  $1 \mapsto d\text{vol}_g$

Remark: can extend  $*$  smoothly to  $*$  :  $\Omega^r(M) \rightarrow \Omega^{m-r}(M)$

Now let  $(X, h)$  be a Hermitian complex manifold ( $\dim_{\mathbb{C}} = n$ ), and  $g$  the corresponding Riemannian metric, invariant under the almost complex structure  $J$ . Real dimension  $m = 2n$ , and so

$$* : \Omega^r(X) \rightarrow \Omega^{2n-r}(X)$$

We have as before  $\omega_1, \varepsilon_1, \dots, \omega_n, \varepsilon_n$  an adapted local coframe field (adapted  $\Rightarrow J\omega_k = -\varepsilon_k$ ,  $J\varepsilon_k = \omega_k \forall k$ ). Then we saw  $(1,0)$ -forms are spanned by  $\langle \omega_k + i\varepsilon_k \rangle_{k=1, \dots, n}$ , and  $(0,1)$  by  $\langle \omega_k - i\varepsilon_k \rangle_{k=1, \dots, n}$ .

$h$  is the Hermitian extension of  $g$ , so

$$\left| (w_{k_1} + i\varepsilon_{k_1}) \wedge \dots \wedge (w_{k_p} + i\varepsilon_{k_p}) \wedge (w_{\ell_1} - i\varepsilon_{\ell_1}) \wedge \dots \wedge (w_{\ell_q} - i\varepsilon_{\ell_q}) \right|^2 \leftarrow \text{Hermitian norm}$$

$$= 2^{p+q}$$

each term has norm 2, and there are  $p+q$  factors.

We have the induced Hermitian inner product on  $\Lambda^{p,q} T^* X \quad \forall p, q$ . (still denoted by  $h$ ). We can extend  $*$   $\mathbb{C}$ -linearly,

$$* : (\Lambda^p T^* X)^{\mathbb{C}} \rightarrow (\Lambda^{2n-p} T^* X)^{\mathbb{C}}$$

Lemma:  $\forall$  complex differential  $r$ -forms  $\alpha, \beta$ , we have

$$\alpha \wedge \bar{\beta} = \langle \alpha, \beta \rangle_h \, d\text{vol}_g$$

pf: Start with  $\alpha, \beta$  real and then multiply by complex constants.

If  $\alpha, \beta$  are real  $r$ -forms at  $x \in X$ ,  $\lambda, \mu \in \mathbb{C}$ , then

$$\langle \lambda \alpha, \mu \beta \rangle_h \, d\text{vol}_g = \lambda \bar{\mu} \langle \alpha, \beta \rangle_h \, d\text{vol}_g = \lambda \bar{\mu} \alpha \wedge \beta = \lambda \alpha \wedge \bar{\mu} \beta$$

Hodge  $*$  is real operator,

so commutes with complex mult.

Lemma now follows by linearity of Hermitian prod, wedge and  $*$ . □

$$\text{Corollary: } * : \Omega^{p,q}(X) \rightarrow \Omega^{n-q, n-p}(X)$$

$$\text{Corollary: } *^2 \big|_{\Omega^{p,q}(X)} = (-1)^{p+q}$$

$$\text{Definition: } d^\dagger := - * d * : \Omega^r(X) \rightarrow \Omega^{r-1}(X)$$

$$\text{The Hodge laplacian is } \Delta := dd^\dagger + d^\dagger d : \Omega^r(X) \rightarrow \Omega^r(X)$$

Both  $d^\dagger$  and  $\Delta$  extend to  $\Omega^r(X)^{\mathbb{C}}$

$\Delta$  is also known as Hodge Laplacian, Laplace-Beltrami operator

$$\text{If } X = \mathbb{C}^n \text{ with Euclidean metric, then for } 0\text{-forms, } \Delta = -4 \sum_{j=1}^n \frac{\partial}{\partial x_j} \frac{\partial}{\partial \bar{x}_j} = - \sum_{j=1}^n \left( \frac{\partial^2}{\partial x_j^2} + \frac{\partial^2}{\partial y_j^2} \right)$$

$$\text{Definition: } \partial^* = - * \bar{\partial} * , \quad \bar{\partial}^* = - * \partial *$$

$$\text{Thus } \partial^* : \Omega^{p,q}(X) \rightarrow \Omega^{p-1,q}(X)$$

$$\bar{\partial}^* : \Omega^{p,q}(X) \rightarrow \Omega^{p, q-1}(X).$$

$$\text{And } d^\dagger \big|_{\Omega^{p,q}(X)} = \bar{\partial}^* + \partial^*.$$

$$\text{Then } (\partial^*)^2 = 0 \text{ and } (\bar{\partial}^*)^2 = 0, \text{ and } \partial^* \bar{\partial}^* = - \bar{\partial}^* \partial^*, \quad (d^\dagger)^2 = 0.$$

Definition: the  $L^2$ -inner product  $\langle \xi, \eta \rangle_{X,h} := \int_X \langle \xi, \eta \rangle_h \, d\text{vol}_g$  (assume  $X$  is finite)

$$= \int_X \xi \wedge * \eta$$

makes  $\Omega^r(X)$ ,  $\Omega^{p,q}(X)$  into a pre-hilbert space (missing that they do not form a complete space in  $L^2$  norm). <sup>Smooth functions</sup>

observe  $\Omega^r(X)^\mathbb{C} = \bigoplus_{p+q=r} \Omega^{p,q}(X)$  orthogonal direct sum wrt. norm at a point in  $X$  and also in the  $L^2$  norm.

Proposition:  $\partial^*$ ,  $\bar{\partial}^*$  are formal adjoints of  $\partial$ ,  $\bar{\partial}$  wrt. the  $L^2$  inner product,

$$\text{i.e.} \quad \int_X \langle \partial \alpha, \beta \rangle \, d\text{vol}_g = \int_X \langle \alpha, \partial^* \beta \rangle \, d\text{vol}_g$$

$$\int_X \langle \bar{\partial} \alpha, \beta \rangle \, d\text{vol}_g = \int_X \langle \alpha, \bar{\partial}^* \beta \rangle \, d\text{vol}_g.$$

$\forall$  compactly supported  $\alpha \in \Omega^{p-1,q}(X)$ , resp.  $\Omega^{p,q-1}(X)$ , and  $\beta \in \Omega^{p,q}(X)$ .

Proof: Do second of relations. We use Stokes theorem:

$$\int_X \langle \bar{\partial} \alpha, \beta \rangle \, d\text{vol}_g = \int_X \bar{\partial} \alpha \wedge * \bar{\beta} = \int_X \underbrace{\left( \bar{\partial} (\alpha \wedge * \bar{\beta}) - (-1)^{p+q-1} \alpha \wedge \bar{\partial} * \bar{\beta} \right)}_{\substack{\text{type } n, m-1 \\ n = \dim X}}$$

$$\text{so } \bar{\partial} (\alpha \wedge * \bar{\beta}) = d(\alpha \wedge * \bar{\beta}).$$

$$\text{by Stokes,} \quad = (-1)^{p+q} \int_X \alpha \wedge \bar{\partial} * \bar{\beta} = \int_X \alpha \wedge \overline{\partial * \beta}$$

$$= \int_X \alpha \wedge * (- * \partial * \beta) \quad \text{Check the sign from type}$$

$$= \int_X \langle \alpha, \bar{\partial}^* \beta \rangle \, d\text{vol}_g$$

The other identity is similar. □

Corollary:

$$1) \int_X \langle d\alpha, \beta \rangle \, d\text{vol}_g = \int_X \langle \alpha, d^* \beta \rangle \, d\text{vol}_g$$

$$2) \int_X \langle d^c \alpha, \beta \rangle \, d\text{vol}_g = \int_X \langle \alpha, (d^c)^* \beta \rangle \, d\text{vol}_g \quad - \text{exercise noting } (d^c)^* = -i(\bar{\partial}^* - \partial^*) = - * d^c *$$

$$\text{Definition: } \Delta_\partial = \partial \partial^* + \partial^* \partial, \quad \Delta_{\bar{\partial}} = \bar{\partial} \bar{\partial}^* + \bar{\partial}^* \bar{\partial}$$

$$\text{Then } \Delta_\partial, \Delta_{\bar{\partial}} : \Omega^{p,q}(X) \rightarrow \Omega^{p,q}(X)$$

and by the above,  $\Delta$ ,  $\Delta_\partial$  and  $\Delta_{\bar{\partial}}$  are formally self adjoint.

NB:  $\Delta$  in general does not act on  $(p,q)$ -forms

Definition: an  $r$ -form  $\alpha$  is (d-) harmonic, denoted  $\alpha \in \mathcal{H}^r(X)$ , if  $\Delta\alpha = 0$

A  $(p,q)$ -form is  $\bar{\partial}$ -harmonic  $\alpha \in \mathcal{H}_{\bar{\partial}}^{p,q}(X)$  if  $\Delta_{\bar{\partial}}\alpha = 0$

A  $(p,q)$ -form is  $\partial$ -harmonic  $\alpha \in \mathcal{H}_{\partial}^{p,q}(X)$  if  $\Delta_{\partial}\alpha = 0$ .

Proposition: Suppose a Hermitian  $n$ -manifold  $X$  is (necessarily) compact. Then

$$\Delta_{\bar{\partial}}\alpha = 0 \quad \text{iff} \quad \bar{\partial}\alpha = 0 \quad \text{and} \quad \bar{\partial}^*\alpha = 0$$

$$\Delta_{\partial}\alpha = 0 \quad \text{iff} \quad \partial\alpha = 0 \quad \text{and} \quad \partial^*\alpha = 0$$

$$\Delta\alpha = 0 \quad \text{iff} \quad d\alpha = 0 \quad \text{and} \quad d^*\alpha = 0$$

Proposition:  $0 = \int_X \langle \Delta_{\bar{\partial}}\alpha, \alpha \rangle d\text{vol}_g = \int_X \left( \langle \bar{\partial}\alpha, \bar{\partial}\alpha \rangle + \langle \bar{\partial}^*\alpha, \bar{\partial}^*\alpha \rangle \right) d\text{vol}_g$

$\uparrow$   
propn

Basic analysis says  $\Leftrightarrow \langle \bar{\partial}\alpha, \bar{\partial}\alpha \rangle = \langle \bar{\partial}^*\alpha, \bar{\partial}^*\alpha \rangle = 0$



$\Delta_{\partial}$  and  $\Delta$  are similar.

Hodge Theorem

Let  $(X, h)$  be a compact Hermitian manifold. Then  $\forall r, \mathcal{H}^r(X)$ ,  $\forall p, q, \mathcal{H}_{\bar{\partial}}^{p,q}(X)$  are finite dimensional, and then  $L^2$ -orthogonal direct sum decompositions

$$\Omega^r(X) = \mathcal{H}^r(X) \oplus d\Omega^{r-1}(X) \oplus d^*\Omega^{r+1}(X)$$

$$\Omega_{\bar{\partial}}^{p,q}(X) = \mathcal{H}_{\bar{\partial}}^{p,q}(X) \oplus \bar{\partial}\Omega^{p,q-1}(X) \oplus \bar{\partial}^*\Omega^{p,q+1}(X)$$

assume this without proof (requires analysis of PDEs)

$$\Delta_{\bar{\partial}}\alpha = \overline{\Delta_{\partial}\bar{\alpha}} \quad (\text{hence suffices to look at just } \Delta_{\bar{\partial}}).$$

Applications:

Proposition 1: Assume  $X$  is compact and Hermitian.

(1)  $\alpha \in \mathcal{H}^r(X) \rightarrow [\alpha] \in H_{dR}^r(X)$  is an  $\mathbb{R}$ -linear isomorphism of vector spaces

(2) if  $\alpha \in \mathcal{H}_{\bar{\partial}}^{p,q}(X) \rightarrow [\alpha] \in H^{p,q}(X)$  is a  $\mathbb{C}$ -linear isomorphism of vector spaces

Exercise: or see online notes on PT III diff geo.

Define  $h^{p,q}(X) = \dim_{\mathbb{C}} H^{p,q}(X) = \dim_{\mathbb{C}} \mathcal{H}_{\bar{\partial}}^{p,q}(X)$ , the Hodge numbers of  $X$

In particular, if  $q=0$ , then  $h^{p,0}(X) = \dim(\text{holo. } p\text{-forms on } X)$

$n = \dim_{\mathbb{C}} X$ , then  $h^{n,0}(X) = \dim(\text{holo sections of canonical bundle } K_X) = p_g(X)$  is the geometric genus of  $X$

[2]  $\alpha \in \mathcal{H}_{\bar{\partial}}^{p,q}(X) \Leftrightarrow *\alpha \in \mathcal{H}_{\bar{\partial}}^{n-q, n-p}(X) \Leftrightarrow \overline{*}\alpha = *\bar{\alpha} \in \mathcal{H}_{\bar{\partial}}^{n-p, n-q}(X)$  is a linear isomorphism

$\uparrow$   
g for geometric, not  
from metric